

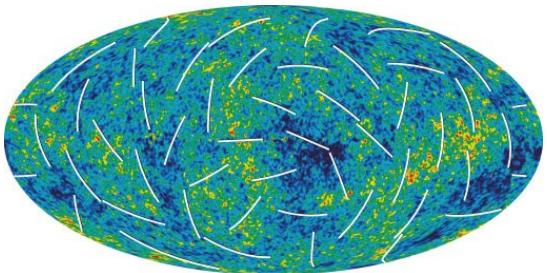
# The 4<sup>th</sup> neutrino: CMB+LSS overview

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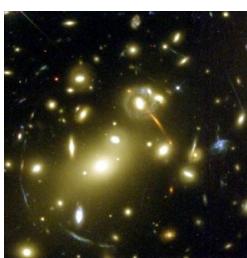
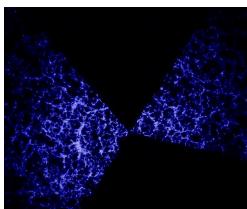
The 4<sup>th</sup> neutrino workshop, Chicago  
May 18 – 19, 2012

# Precision cosmological probes...

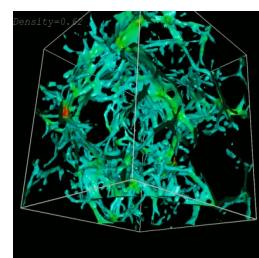
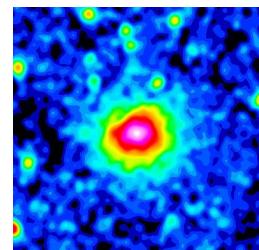
## Probes of inhomogeneities



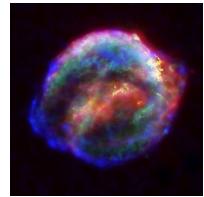
Large-scale  
matter  
distribution



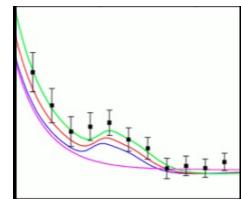
CMB  
temperature  
& polarisation  
anisotropies



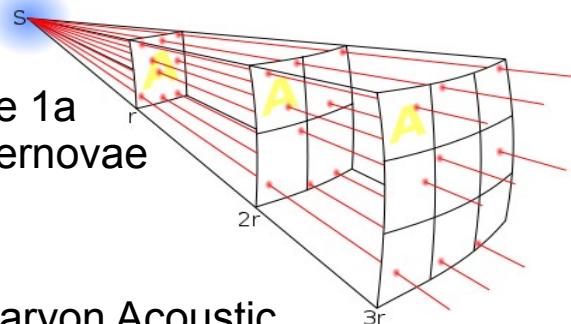
## Distance vs redshift



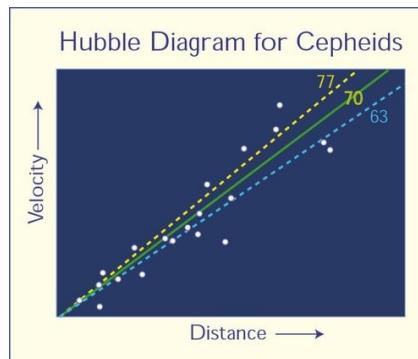
Type 1a  
supernovae



Baryon Acoustic  
Oscillation scale



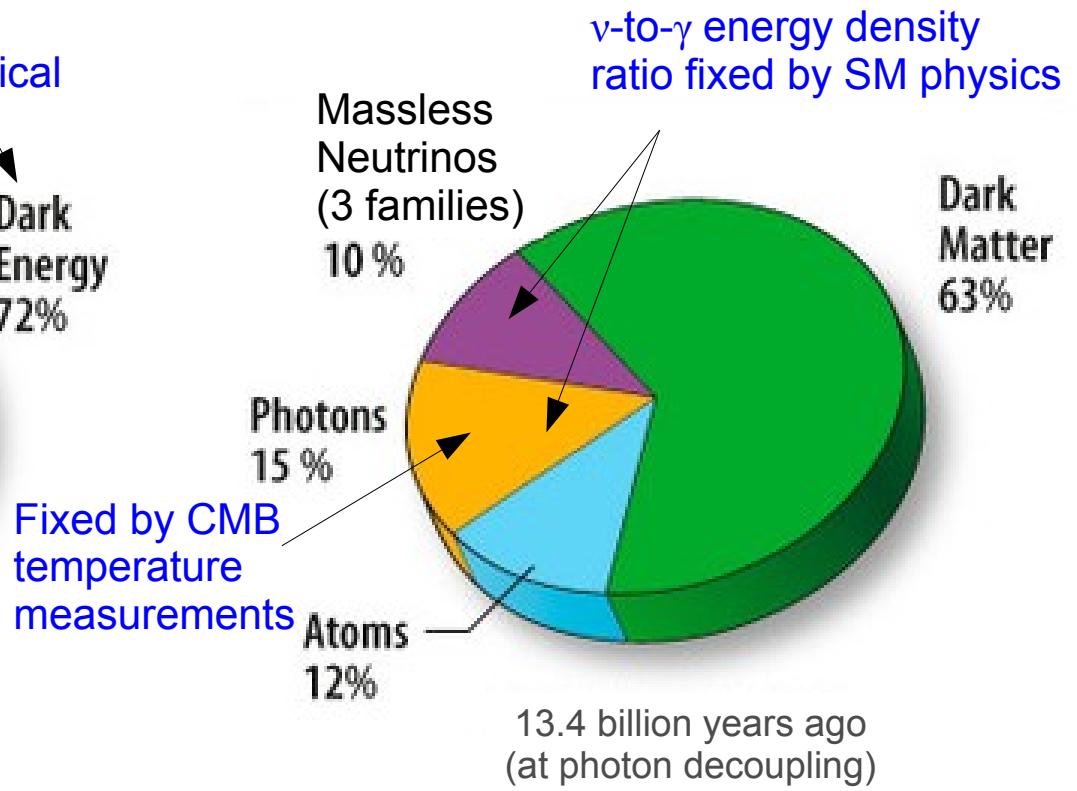
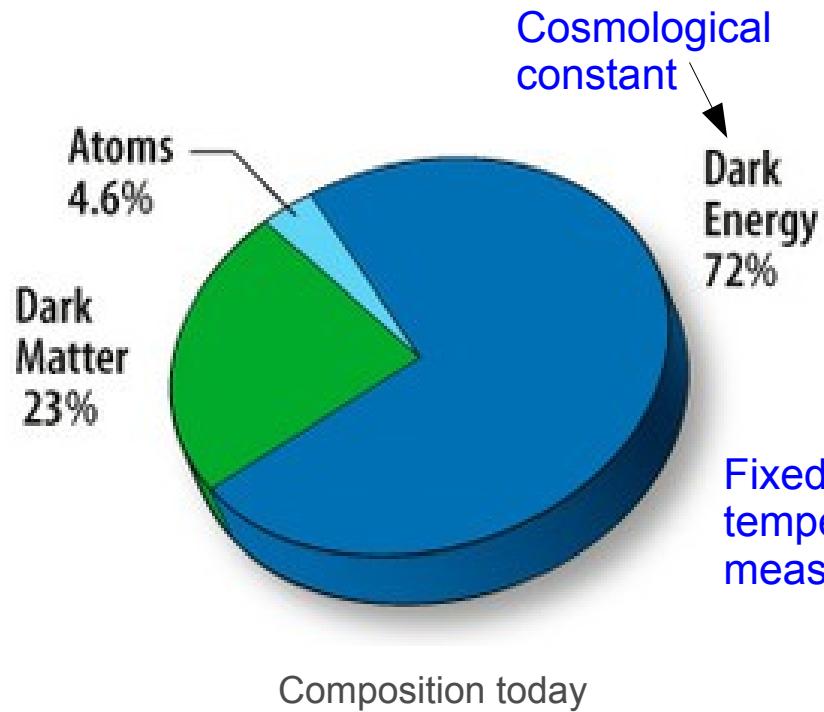
## Local Hubble expansion rate



$$H_0 = 100 h \text{ km/s/Mpc} \\ = 73.8 \pm 2.4 \text{ km/s/Mpc}$$

# The concordance flat $\Lambda$ CDM model...

- The **simplest** model consistent with **present observations**.



Plus flat spatial geometry+initial conditions  
from single-field inflation

# Neutrino energy density (standard picture)...

- Neutrino decoupling at  $T \sim O(1)$  MeV. ← Fixed by weak interactions

- After  $e^+e^-$  annihilation ( $T \sim 0.2$  MeV):  
Assuming instantaneous decoupling

- **Temperature:**

$$T_\nu = \left(\frac{4}{11}\right)^{1/3} T_\gamma$$

Photon temperature, number density, & energy density

- **Number density per flavour:**  $n_\nu = \frac{6}{4} \frac{\zeta(3)}{\pi^2} T_\nu^3 = \frac{3}{11} n_\gamma$

- **Energy density per flavour:**  $\rho_\nu = \frac{7}{8} \frac{\pi^2}{15} T_\nu^4 = \frac{7}{8} \left(\frac{4}{11}\right)^{4/3} \rho_\gamma$

$$\frac{3\rho_\nu}{\rho_\gamma} \sim 0.68$$

- If massive, then at  $T \ll m$ :  $\rho_\nu = m_\nu n_\nu$  →  $\Omega_{\nu,0} h^2 = \frac{m_\nu}{94 \text{ eV}}$

Hot dark matter (not within vanilla  $\Lambda$ CDM)

# Extending the “neutrino” sector...

- Any particle species whose production is associated with some **thermal process** and that **decoupled while relativistic at relatively late times** [ $T < \mathcal{O}(100)$  MeV] will behave (more or less) like a neutrino as far as cosmological observations are concerned.

$$\sum_i \rho_{\nu,i} + \rho_X = N_{\text{eff}} \left( \frac{7}{8} \frac{\pi^2}{15} T_\nu^4 \right) = (3.046 + \Delta N_{\text{eff}}) \rho_\nu^{(0)}$$

Three SM neutrinos

Other light stuff:  
sterile neutrinos,  
hidden photons,  
etc.

Neutrino temperature per definition

Corrections due to non-instantaneous decoupling, finite temperature effects, and flavour oscillations

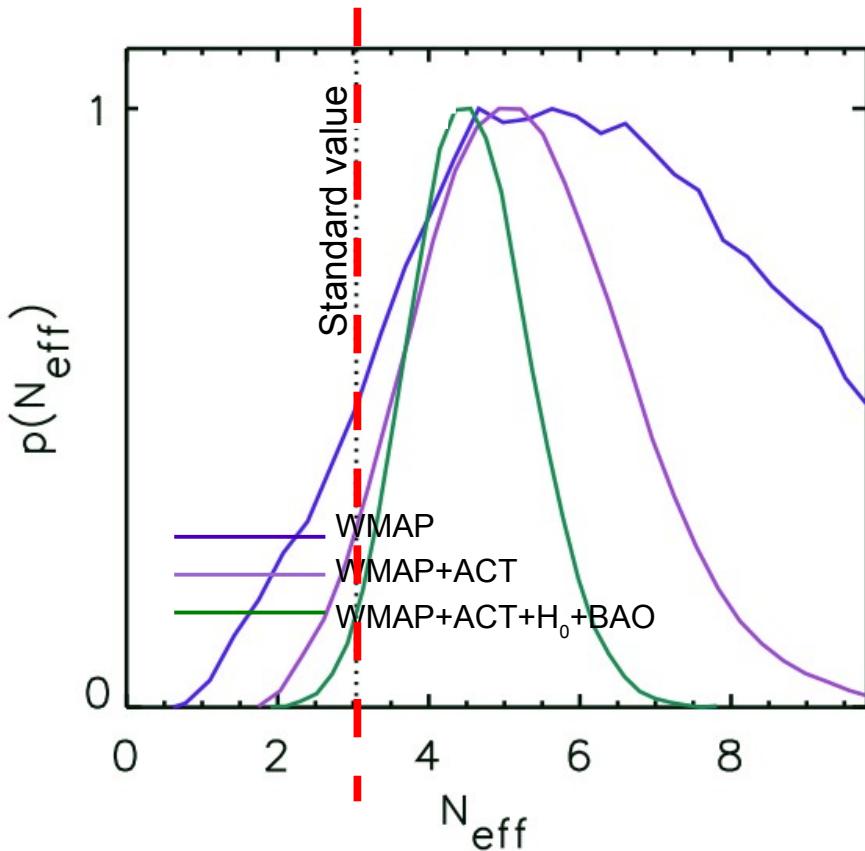
# Plan...

- Evidence of  $N_{\text{eff}} > 3$  from **CMB and large-scale structure** observations.
- Connection to the **short baseline sterile neutrino**.

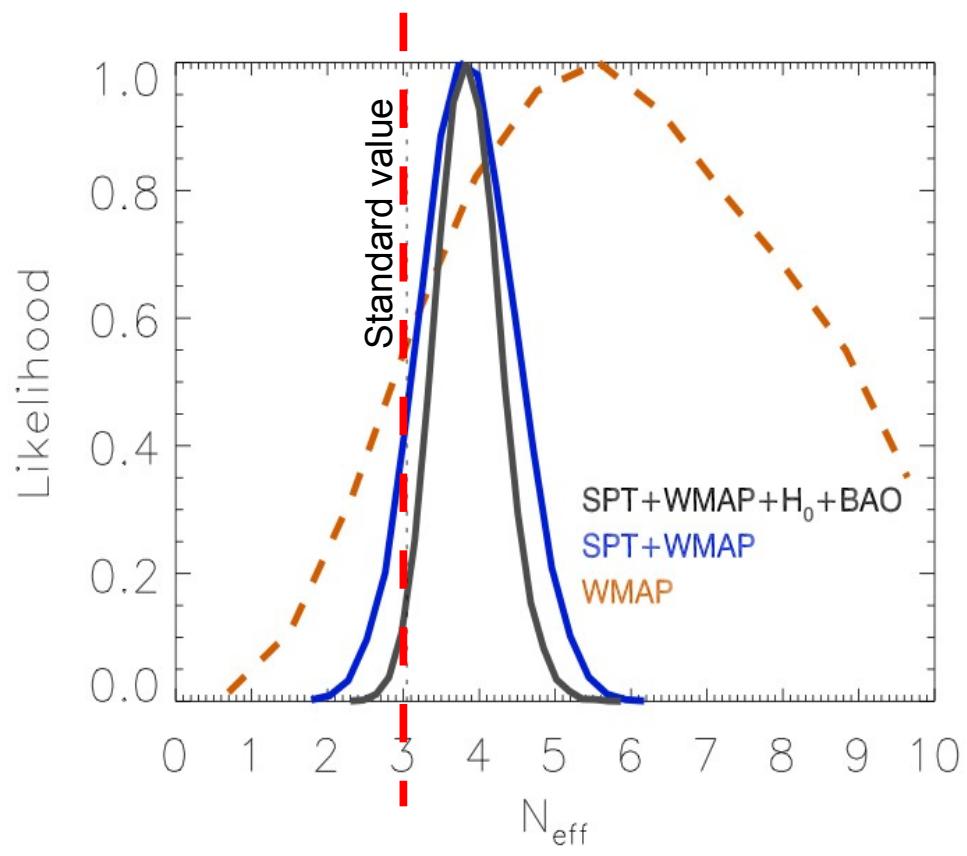
# 1. CMB+large-scale structure...

# Evidence for $N_{\text{eff}} > 3$ from CMB+LSS...

- Recent CMB+LSS data appear to prefer  $N_{\text{eff}} > 3$ !



Dunkley et al. [Atacama Cosmology Telescope] 2010



Keisler et al. [South Pole Telescope] 2011

- $N_{\text{eff}} > 3$  trend has been there since WMAP-5.
- Exact numbers depend on the cosmological model, and the combination of data.
- Many model+data combinations find  $N_{\text{eff}} > 3$  at 95% – 99% C.L.
- Central value  $N_{\text{eff}} \sim 4$ .

Model	Data	$N_{\text{eff}}$
$N_{\text{eff}}$	W-5+BAO+SN+ $H_0$	$4.13^{+0.87(+1.76)}_{-0.85(-1.63)}$
	W-5+LRG+ $H_0$	$4.16^{+0.76(+1.60)}_{-0.77(-1.43)}$
	W-5+CMB+BAO+XLF+ $f_{\text{gas}}$ + $H_0$	$3.4^{+0.6}_{-0.5}$
	W-5+LRG+maxBCG+ $H_0$	$3.77^{+0.67(+1.37)}_{-0.67(-1.24)}$
	W-7+BAO+ $H_0$	$4.34^{+0.86}_{-0.88}$
	W-7+LRG+ $H_0$	$4.25^{+0.76}_{-0.80}$
	W-7+ACT	$5.3 \pm 1.3$
	→ W-7+ACT+BAO+ $H_0$	$4.56 \pm 0.75$
	W-7+SPT	$3.85 \pm 0.62$
	→ W-7+SPT+BAO+ $H_0$	$3.85 \pm 0.42$
	→ W-7+ACT+SPT+LRG+ $H_0$	$4.08^{(+0.71)}_{(-0.68)}$
	→ W-7+ACT+SPT+BAO+ $H_0$	$3.89 \pm 0.41$
	W-7+CL+SPT+BAO+ $H_0$	(< 3.74)
$N_{\text{eff}} + f_\nu$	W-7+CMB+BAO+ $H_0$	$4.47^{(+1.82)}_{(-1.74)}$
	→ W-7+CMB+LRG+ $H_0$	$4.87^{(+1.86)}_{(-1.75)}$
$N_{\text{eff}} + \Omega_k$	W-7+BAO+ $H_0$	$4.61 \pm 0.96$
	→ W-7+ACT+SPT+BAO+ $H_0$	$4.03 \pm 0.45$
$N_{\text{eff}} + \Omega_k + f_\nu$	→ W-7+ACT+SPT+BAO+ $H_0$	$4.00 \pm 0.43$
$N_{\text{eff}} + f_\nu + w$	W-7+CMB+BAO+ $H_0$	$3.68^{(+1.90)}_{(-1.84)}$
	W-7+CMB+LRG+ $H_0$	$4.87^{(+2.02)}_{(-2.02)}$
$N_{\text{eff}} + \Omega_k + f_\nu + w$	→ W-7+CMB+BAO+SN+ $H_0$	$4.2^{+1.10(+2.00)}_{-0.61(-1.14)}$
	→ W-7+CMB+LRG+SN+ $H_0$	$4.3^{+1.40(+2.30)}_{-0.54(-1.09)}$

W-5=WMAP-5; W-7=WMAP-7

- One exception: cluster abundance from ROSAT All-sky Survey/Chandra X-ray observatory prefers a more “standard” value of  $N_{\text{eff}}$ .

$$N_{\text{eff}} < 3.74 \text{ (95 \% C.L.)}$$

WMAP-7+Clusters+SPT+BAO+ $H_0$   
( $N_{\text{eff}}$  restricted to  $\geq 3$ )

Burenin & Vikhlinin 2012

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	W-7+CMB+LRG+SN+ $H_0$	$4.3^{+1.40(+2.30)}_{-0.54(-1.09)}$

# How does it work...

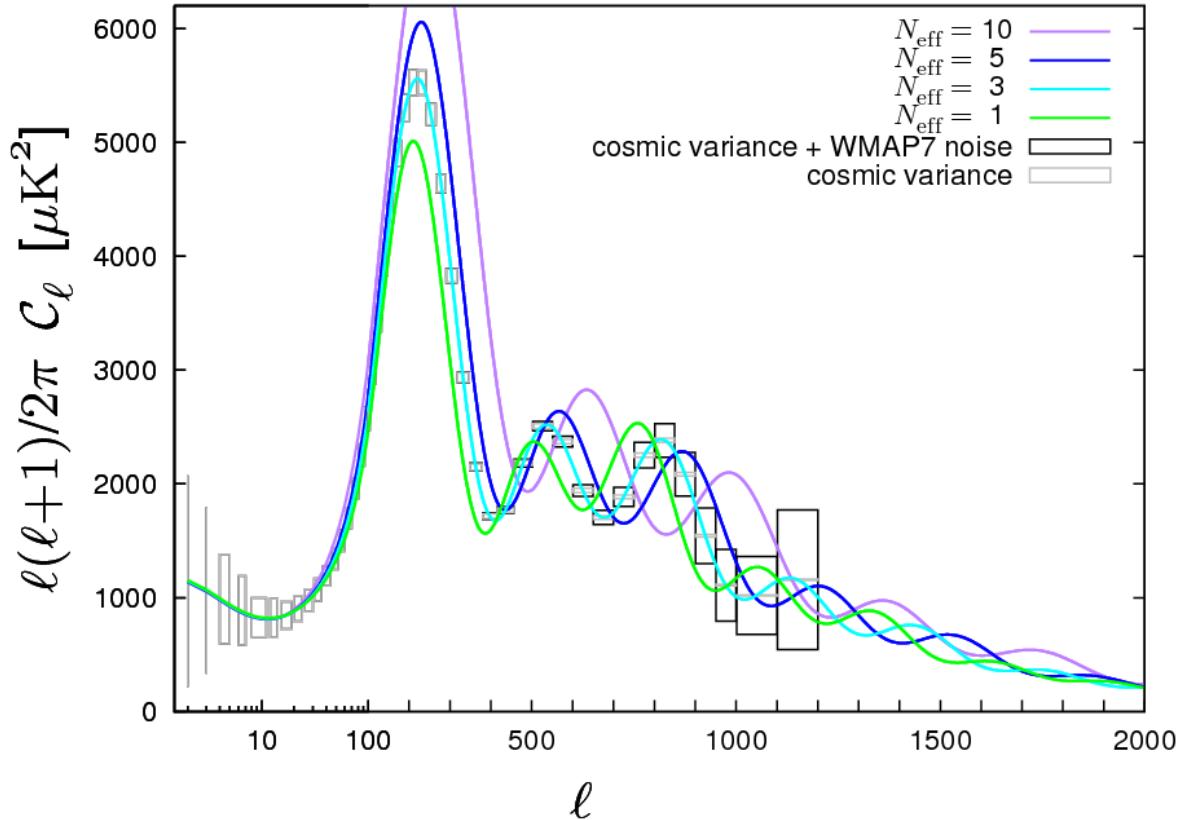


Figure courtesy of J. Hamann

- $N_{\text{eff}}$  looks easy to detect..
- But we also use the **same data** to measure at least **6 other cosmological parameters**:
  - baryon density  $(\omega_b, \omega_m, h, A_s, n_s, \tau)$
  - Hubble parameter
  - matter density
  - optical depth to reionisation
  - primordial fluctuation amplitude & spectral index
- Plenty of **parameter degeneracies!**

# What the CMB really probes: equality redshift...

- Ratio of 3<sup>rd</sup> and 1<sup>st</sup> peaks sensitive to the redshift of **matter-radiation equality** via the early ISW effect.

Exact degeneracy between the physical matter density  $\omega_m$  and  $N_{\text{eff}}$ .

$$1 + z_{\text{eq}} = \frac{\omega_m}{\omega_r} \sim \frac{\omega_m}{\omega_\gamma} \frac{1}{1 + 0.2271 N_{\text{eff}}}$$

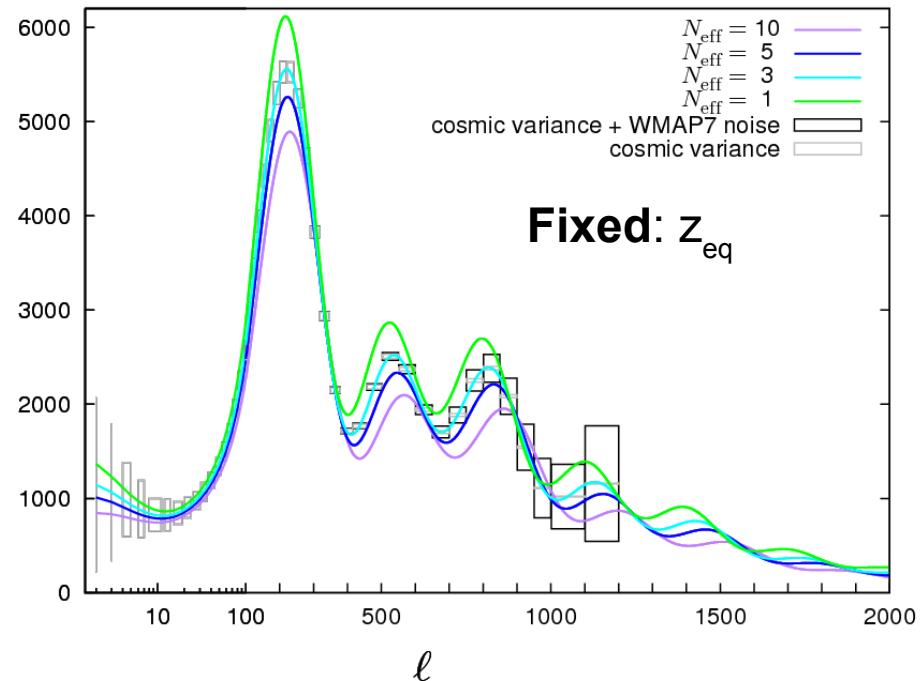
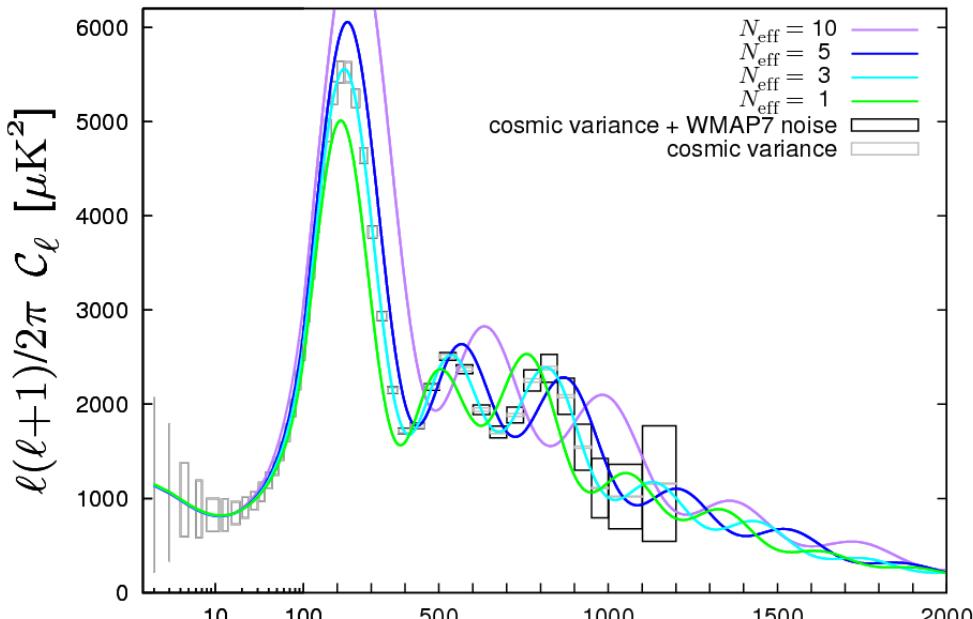


Figure courtesy of J. Hamann

# What the CMB really probes: sound horizon...

- Peak positions depend on:

$$\theta_s = \frac{r_s}{D_A}$$

Sound horizon at decoupling

Angular distance to the last scattering surface

Flat  $\Lambda$ CDM

Exact degeneracy between  $\omega_m$  and the Hubble parameter  $h$ .

$$\theta_s \propto \int_{a_*}^1 \frac{da}{\sqrt{\omega_m h^{-2} a^{-3} + (1 - \omega_m h^{-2})}}$$

$(\omega_m h^{-2})^{-1/2}$

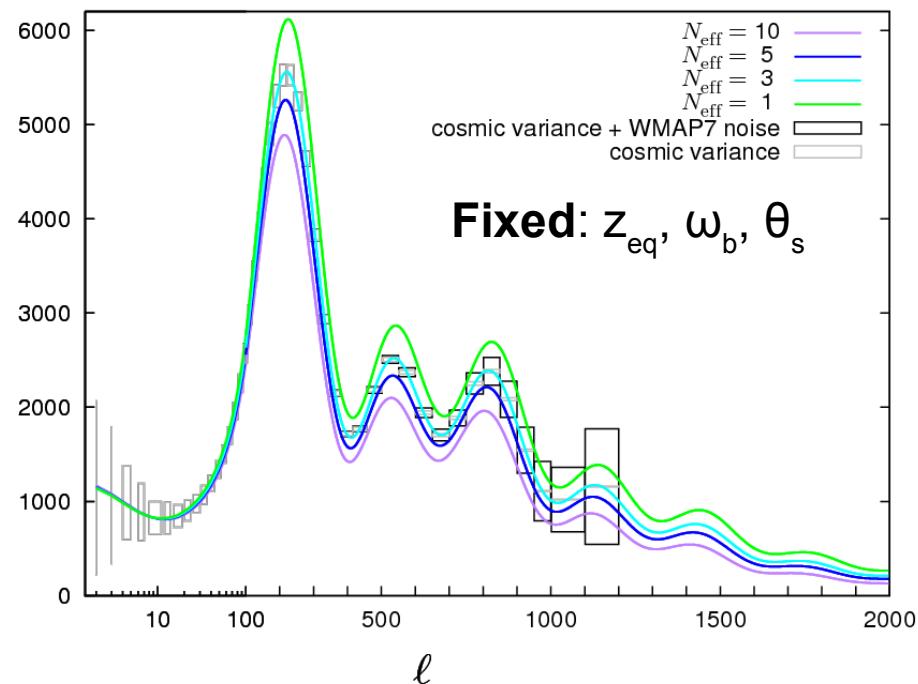
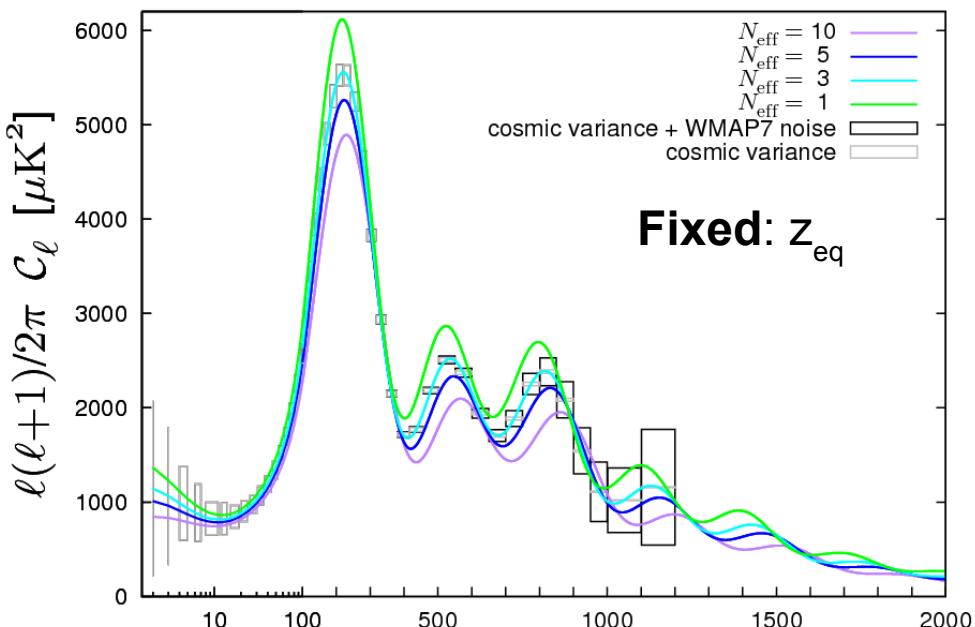


Figure courtesy of J. Hamann

# What the CMB really probes: anisotropic stress...

- Apparent (i.e., not physical) partial degeneracies with **primordial fluctuation amplitude  $A_s$**  and **spectral index  $n_s$** .
- However, **free-streaming** particles have **anisotropic stress**.
- **First real signature of  $N_{\text{eff}}$  in the 3rd peak!**

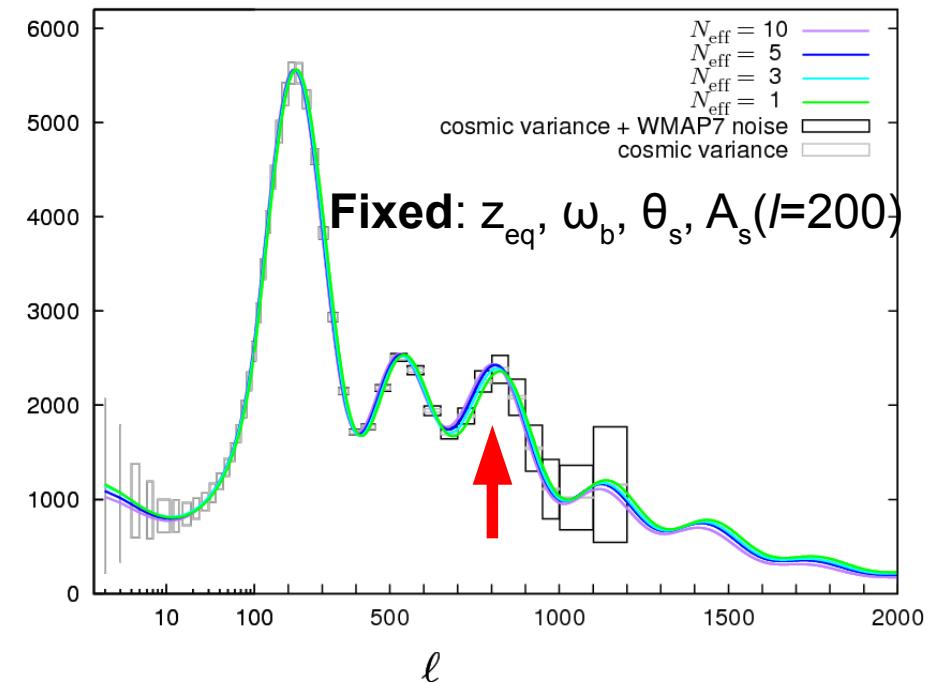
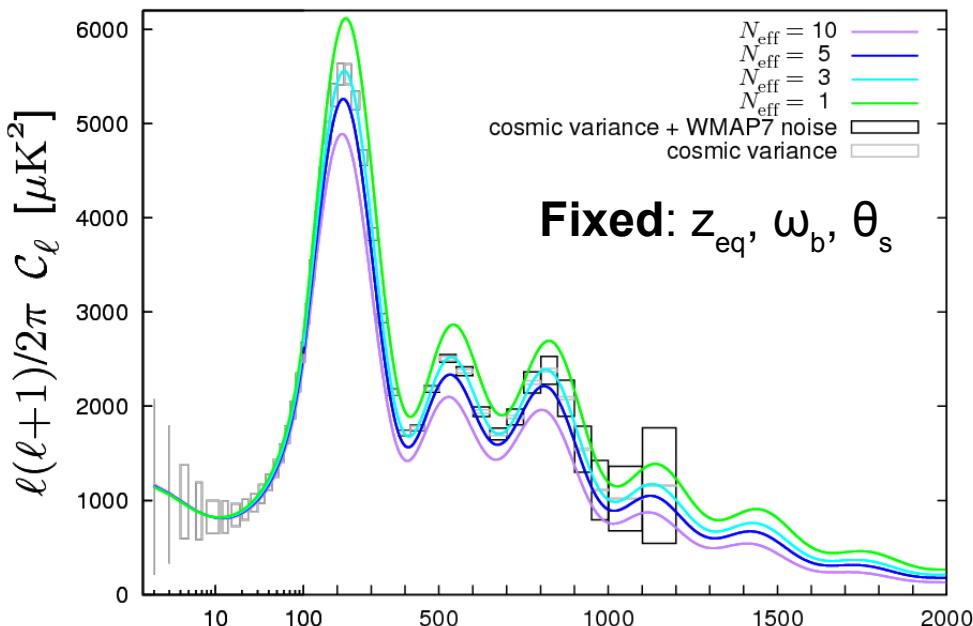
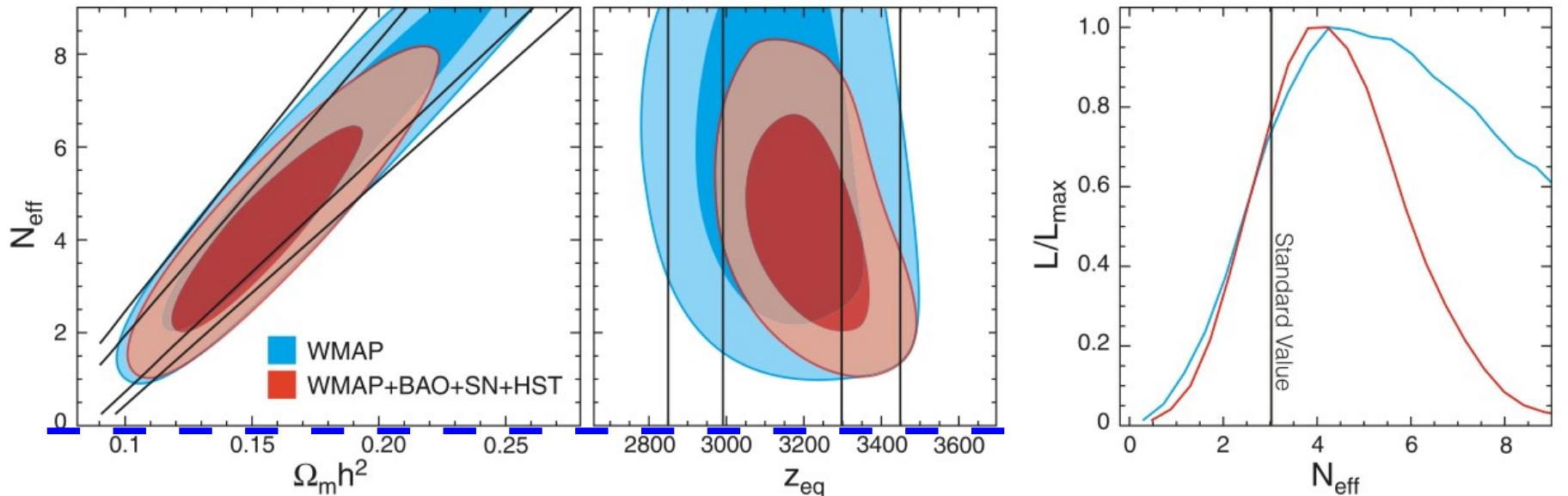


Figure courtesy of J. Hamann

- Measurement of the third peak (since WMAP-5) gives **lower limit** on  $N_{\text{eff}}$  from WMAP alone (without supplementary large-scale structure data).

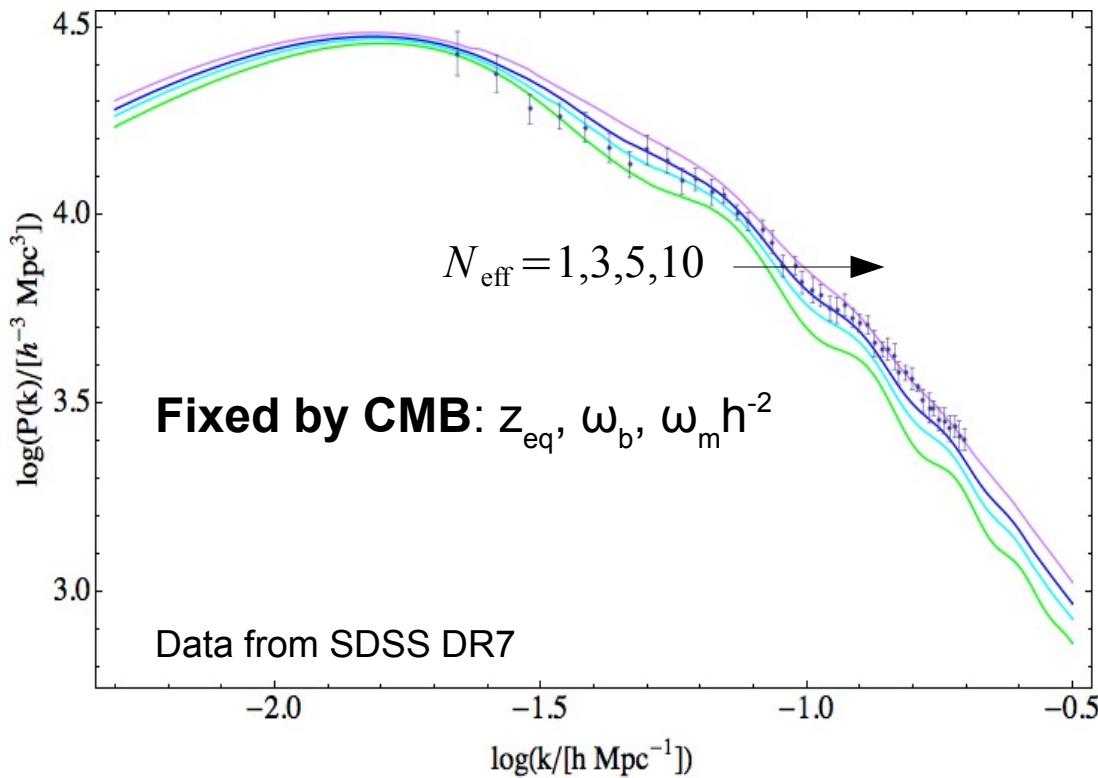


Komatsu et al. [WMAP5] 2008

- Upper limit** requires combination of WMAP with other observations to break the remaining  $N_{\text{eff}} - \omega_m - h$  parameter degeneracies.
    - Pinning down either  $\omega_m$  or  $h$  will do!
- from local ( $z < 0.1$ ) expansion rate measurements

# Breaking degeneracies with large-scale structure...

Large-scale matter power spectrum



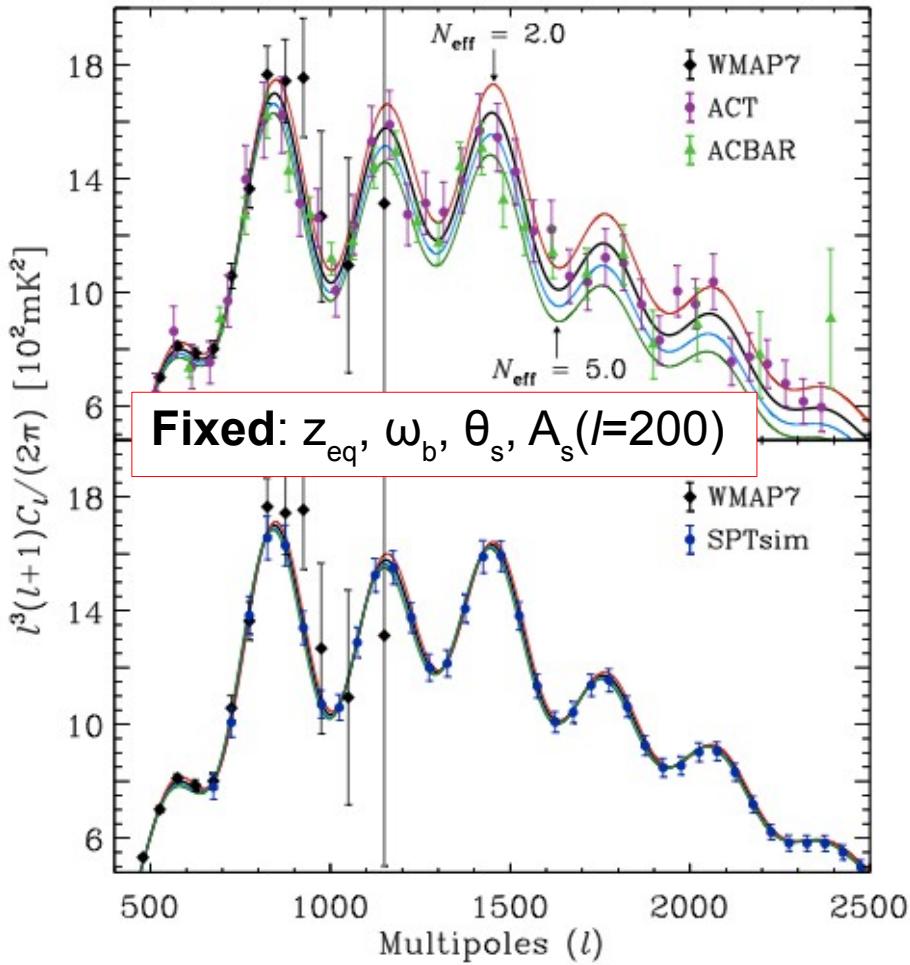
- The shape of the matter power spectrum is additionally sensitive to the baryon fraction:

$$f_b \equiv \frac{\omega_b}{\omega_m}$$

Fixed by CMB

- The larger  $N_{\text{eff}}$ , the smaller  $f_b \rightarrow$  more power at large  $k$ .
- (Can partially offset this effect with massive neutrinos.)

# Breaking degeneracies with the CMB damping tail...



- **ACT** data available since 2010; **SPT** since 2011; also measured by **Planck**.

- Probe **photon diffusion scale**:

$$\theta_d = \frac{r_d}{D_A} \quad \begin{matrix} \leftarrow \\ \text{Diffusion scale} \\ \text{at decoupling} \end{matrix}$$

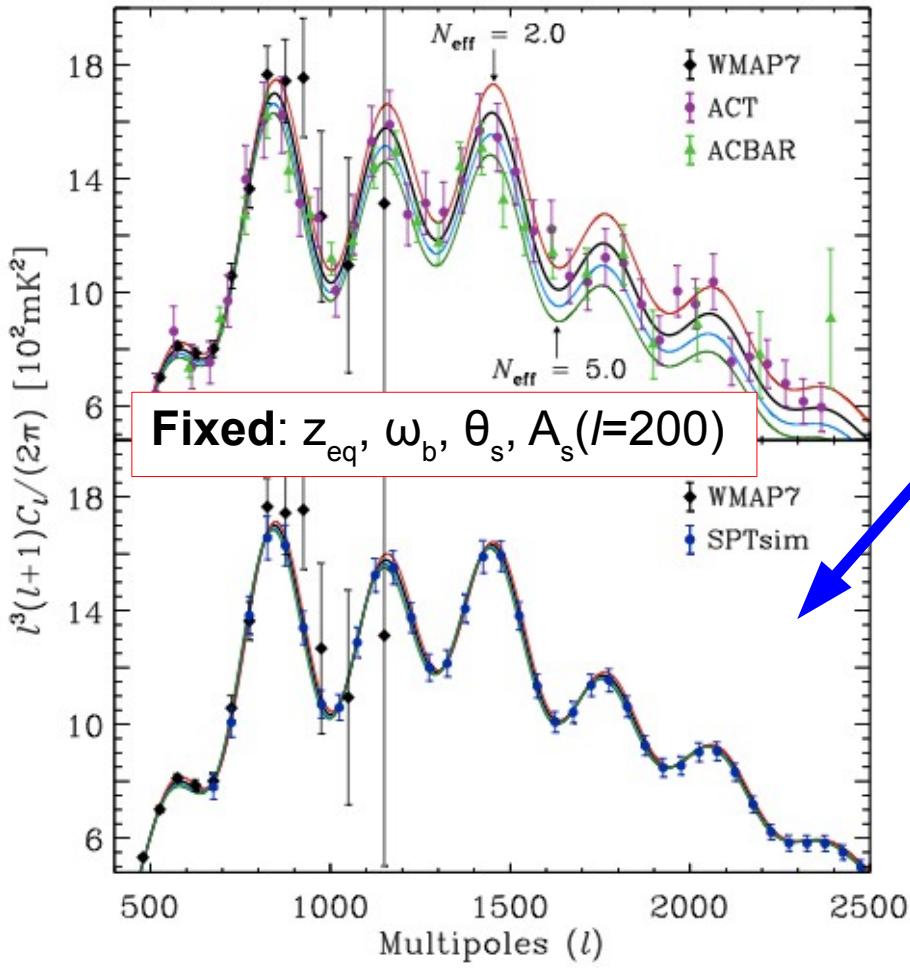
- Combined with **sound horizon measurement**:

$$\frac{\theta_d}{\theta_s} = \frac{r_d}{r_s} \propto \omega_m^{1/4}$$

Fixed  $z_{\text{eq}}, \omega_b$

Breaks (nearly) all  $N_{\text{eff}}$  degeneracies,  
robust against low-redshift uncertainties  
in  $D_A$ , e.g.,  $w_{\text{DE}}$ ,  $\Omega_k$ .

# Breaking degeneracies with the CMB damping tail...



- **The  $N_{\text{eff}} - Y_p$  degeneracy!**
- With  $\omega_b$  fixed by WMAP, the **Helium fraction**  $Y_p$  determines the **free electron density** → affects **photon diffusion length**.
- Current strategy:
  - Either fix  $Y_p$  at 0.24 → 0.25.
  - Or apply a BBN consistency relation.
- **Not an exact degeneracy; can be resolved by Planck.**

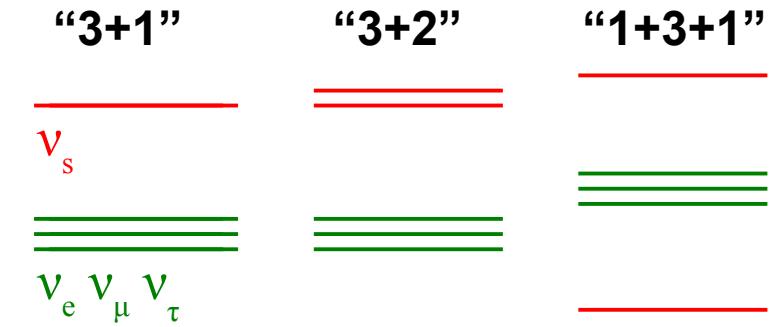
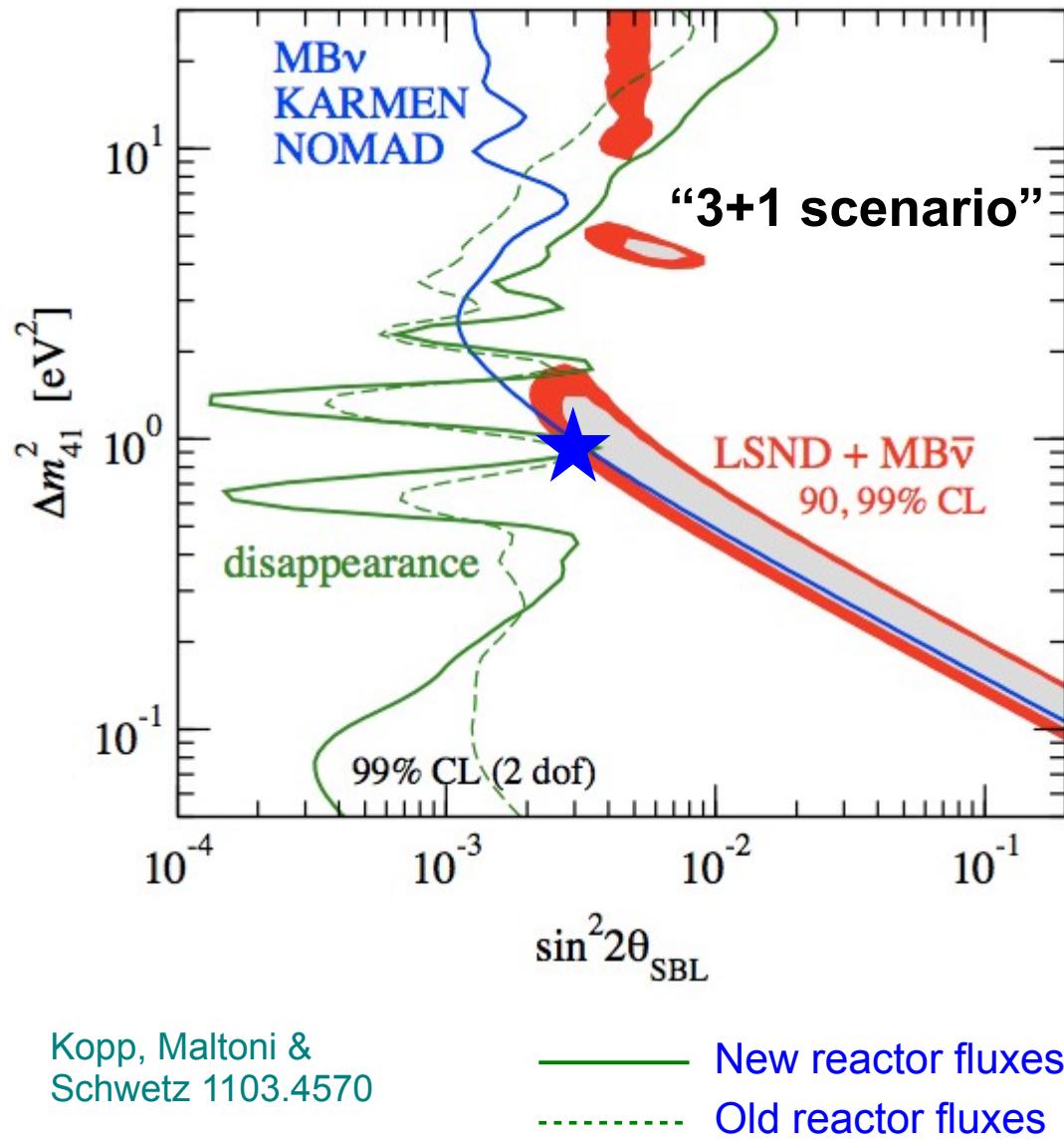
# A quick recap on $N_{\text{eff}}$ ...

- The WMAP measurement of the **acoustic peaks alone** does **not** completely constrain  $N_{\text{eff}}$  because of **parameter degeneracies**:
  - $N_{\text{eff}} - \omega_m$
  - $N_{\text{eff}} - h$  (via  $\omega_m - h$  and  $N_{\text{eff}} - \omega_m$ )
  - $N_{\text{eff}} - Y_p$
- Degeneracies can be **broken** with measurements of
  - CMB damping tail  $\rightarrow \omega_m$  ( $Y_p$  with Planck)
  - Large-scale structure distribution  $\rightarrow \omega_m$
  - Local Hubble expansion rate  $\rightarrow h$
- Preference for  $N_{\text{eff}} > 3$  appears to be robust against model assumptions.

## 2. Connection to the short baseline sterile neutrino...

# Experimental anomalies & the sterile $\nu$ interpretation...

- Experiments **at odds** with the standard **3-neutrino interpretation** of global neutrino oscillation data:
  - LSND ( $\bar{\nu}_e$  appearance)
  - MiniBooNE anti-neutrinos ( $\bar{\nu}_e$  appearance)
  - **Short baseline reactor experiments** (re-evaluation of neutrino fluxes) ( $\bar{\nu}_e$  disappearance)
- If interpreted as oscillation signals → a 4th (or more) **sterile neutrino** with  $\Delta m^2 \sim O(1 \text{ eV}^2)$  and  $\sin^2 2\theta > 10^{-3}$ .



- “3+1” best-fit:  $\Delta m_{41}^2 \sim 1$  eV<sup>2</sup>

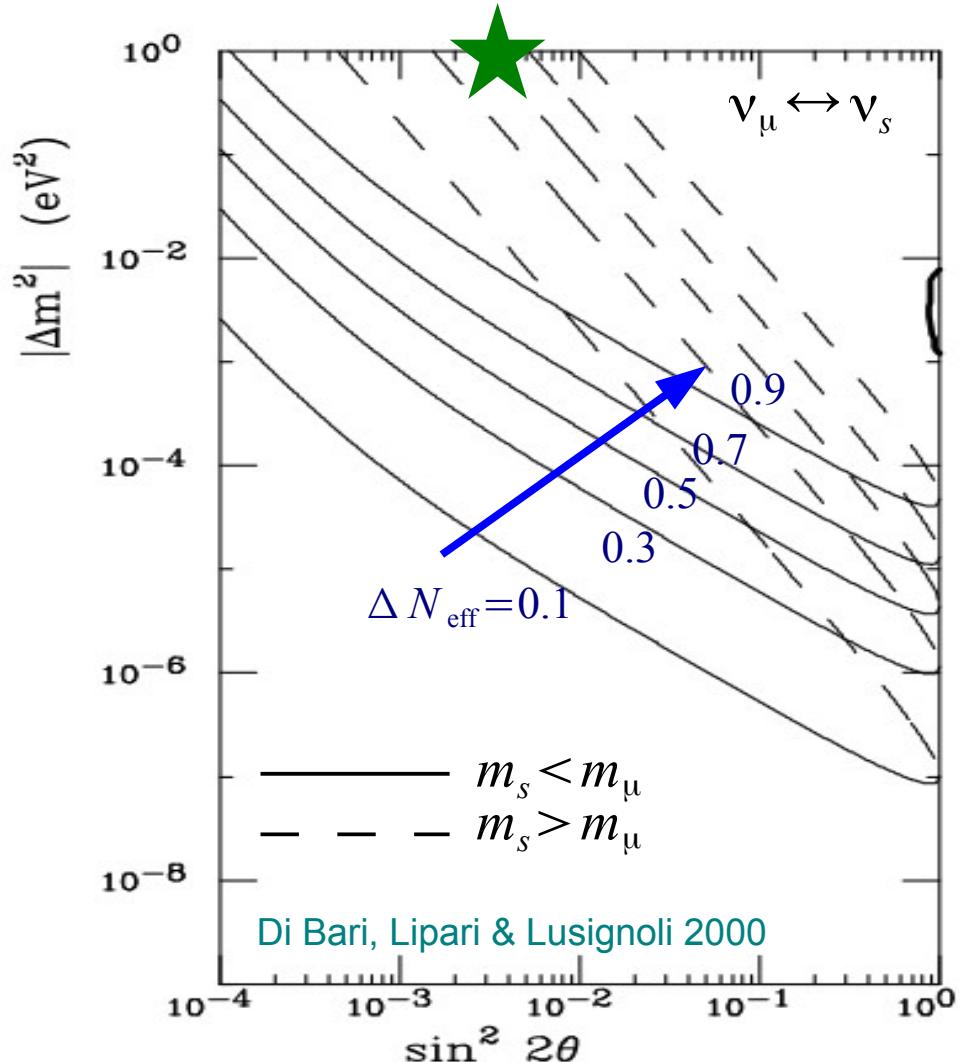


$$m_s \sim 1 \text{ eV}$$

If lightest neutrino mass  $\sim 0$  eV

# Light sterile neutrinos and $N_{\text{eff}}$ ...

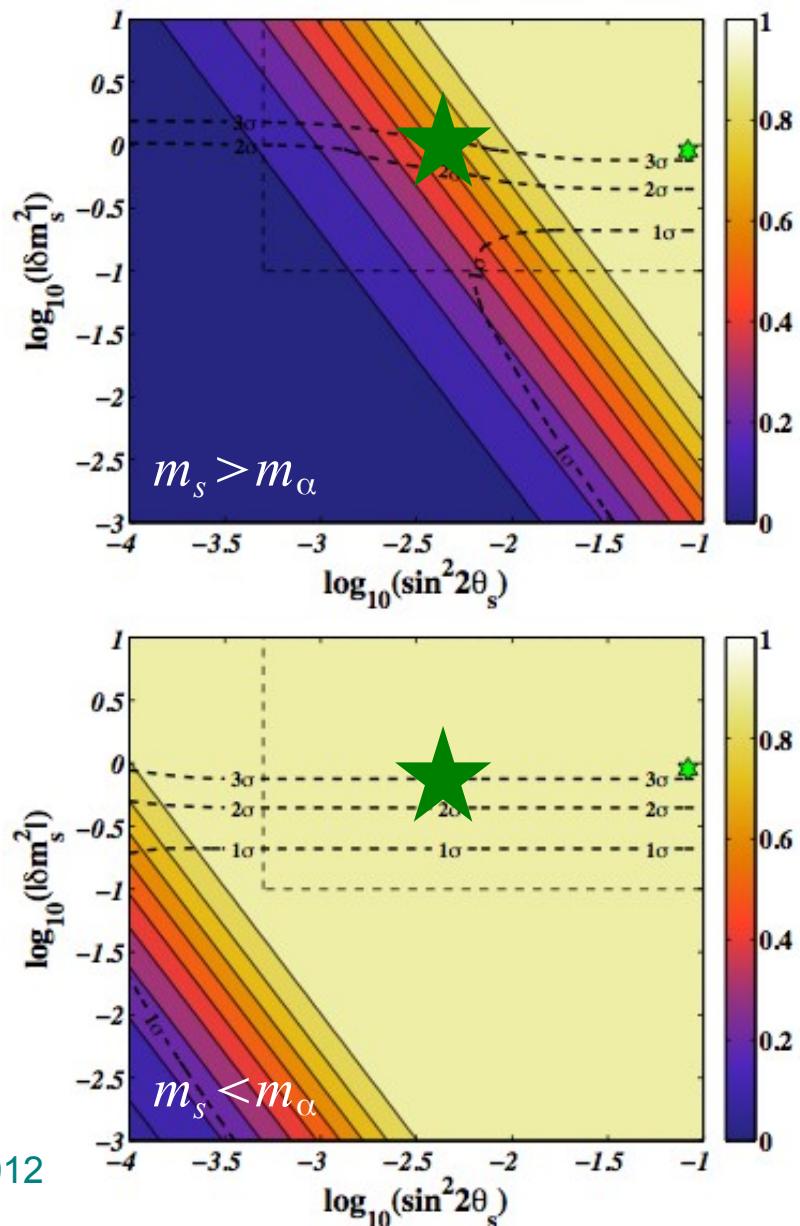
- SBL-preferred  $\Delta m^2$  and mixing favour the **production and thermalisation** of sterile neutrinos in the early universe via  $\nu_\alpha \leftrightarrow \nu_s$  oscillations +  $\nu_\alpha$  scattering.
  - Can easily produce an excess relativistic energy density of  $\Delta N_{\text{eff}} \sim 1$ .
  - Sterile states have the same temperature as the SM neutrinos.



# Light sterile neutrinos and $N_{\text{eff}}$ ...

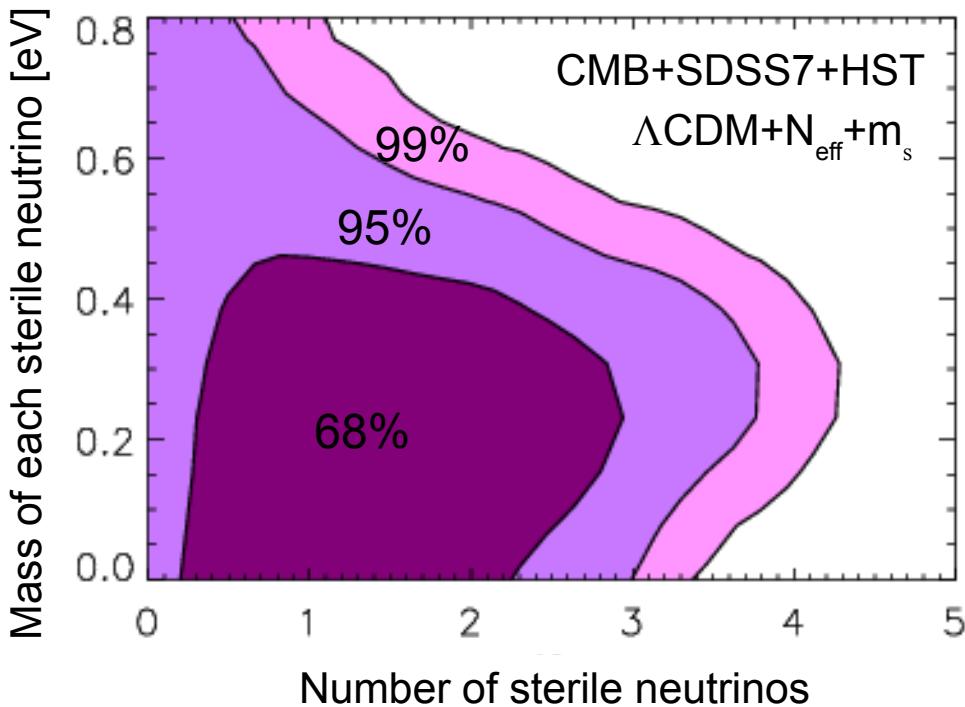
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- Can easily produce an excess relativistic energy density of  $\Delta N_{\text{eff}} \sim 1$ .
- Sterile states have the same temperature as the SM neutrinos.



# Can the short baseline sterile neutrino explain $N_{\text{eff}} > 3$ ?

- **Short answer:** Not so easy.
- **Reason:** eV mass neutrinos **violate CMB+LSS hot dark matter bounds**.



- 3+1 thermalised sterile:  
 $m_s < 0.48$  eV (95% C.I.)

Lab best-fit:  $m_s \sim 1$  eV

- 3+2 thermalised sterile:  
 $m_{s1} + m_{s2} < 0.9$  eV (95% C.I.)

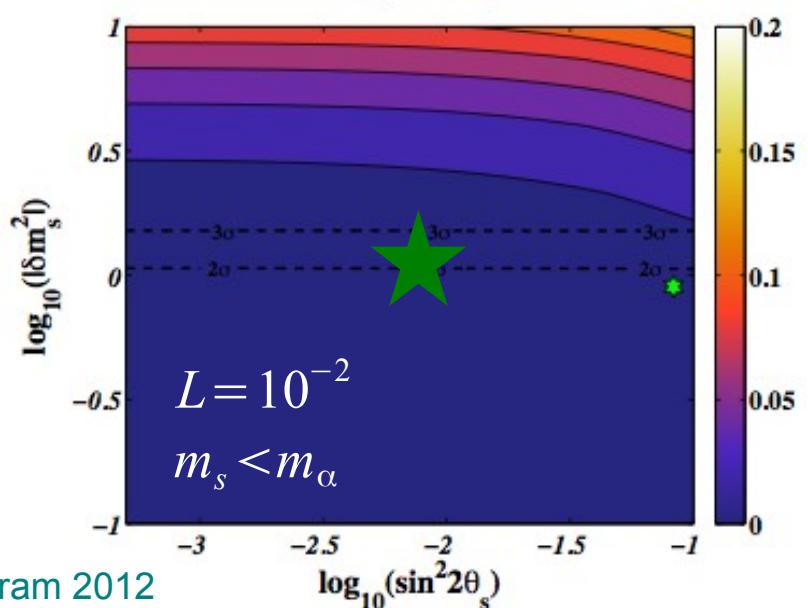
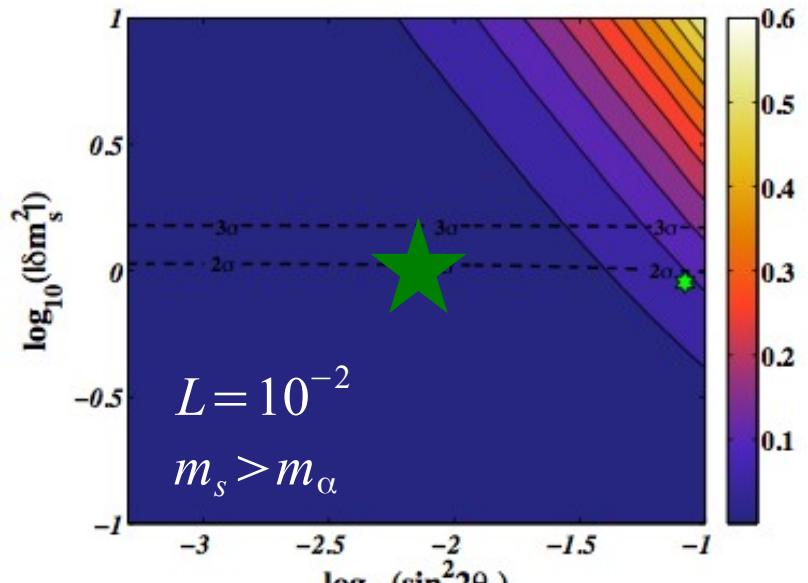
Lab best-fit:  $m_{s1} \sim 0.7$  eV,  $m_{s2} \sim 0.9$  eV

# Is there a way out? Plan A...

- **Suppress** sterile neutrino thermalisation using, e.g., **a large lepton asymmetry** ( $L \gg B \sim 10^{-10}$ ).

Foot & Volkas 1995

- Generating a large lepton asymmetry requires **new physics**.
- If complete suppression, then  $N_{\text{eff}} > 3$  must be explained by some **other physics** (sub-eV thermal axions, hidden photons, etc.?)



Hannestad, Tamborra & Tram 2012

**Grin, Smith, and Kamionkowski**, Axion constrains in non-standard thermal histories, arXiv:0711.1352 [astro-ph]; **Kawasaki, Nakayama ,and Senami**, Cosmological implications of supersymmetric axion models, arXiv:0711.3083 [hep-ph]; **Feng, Tu and Yu**, Thermal Relics in Hidden Sectors, arXiv:0808.2318 [hep-ph]; **Nelson and Walsh**, Chameleon vector bosons, arXiv:0802.0762 [hep-ph]; **Ackermann, Buckley, Carroll, and Kamionkowski**, Dark Matter and Dark Radiation, arXiv:0810.5126 [hep-ph]; **Mahato**, Torsion, Dirac Field, Dark Matter and Dark Radiation, gr-qc/0603134; **Jäckel, Redondo, and Ringwald**, Signatures of a hidden cosmic microwave background, arXiv:0804.4157 [astro-ph]; **Hasenkamp**, Dark radiation from the axino solution of the gravitino problem, arXiv:1107.4319 [hep-ph]; **Kobayashi, Takahashi, Takahashi, and Yamaguchi**, Dark Radiation from Modulated Reheating, arXiv:1111.1336 [astro-ph.CO]; **Feng, Rentala and Surujon**, WIMPless Dark Matter from an AMSB Hidden Sector with No New Mass Parameters, arXiv:1111.4479 [hep-ph]; **Hooper, Queiroz, and Gnedin**, Non-Thermal Dark Matter Mimicking An Additional Neutrino Species In The Early Universe, arXiv:1111.6599 [astro-ph.CO]; **Menestrina and Scherrer**, Dark Radiation from Particle Decays during Big Bang Nucleosynthesis, arXiv:1111.0605 [astro-ph.CO]; Aslanbeigi, **Robbers, Foster, Kohri, and Afshordi**, Phenomenology of Gravitational Aether as a solution to the Old Cosmological Constant Problem, arXiv:1106.3955 [astro-ph.CO]; **Chen and Lin**, Cosmon as the Modulon: Non-Gaussianity from Dark Energy, arXiv:1104.0982 [hep-ph]; **Das and Weiner**, Late Forming Dark Matter in Theories of Neutrino Dark Energy, astro-ph/0611353; **Nakayama, Takahashi, and Yanagida**, A theory of extra radiation in the Universe, arXiv:1010.5693 [hep-ph]; **Fischler and Meyers**, Dark Radiation Emerging After Big Bang Nucleosynthesis?, arXiv:1011.3501 [astro-ph.CO]; **Dreiner, Hanussek, Kim, and Sarkar**, Gravitino cosmology with a very light neutralino, arXiv:1111.5715 [hep-ph]; **Foot**, Mirror dark matter cosmology – predictions for Neff[CMB] and Neff[BBN], arXiv:1111.6366 [astro-ph.CO]; **Jeong and Takahashi**, Light Higgsino from Axion Dark Radiation, arXiv:1201.4816 [hep-ph]; **Kaplan, Krnjaic, Rehermann, and Wells**, Dark Atoms: Asymmetry and Direct Detection, arXiv:1105.2073 [hep-ph]; **Cicoli**, Large extra dimensions and light hidden photons from anisotropic string vacua, arXiv:1111.0790 [hep-th];

# Is there a way out? Plan B...

- Failing to suppress  $v_s$  thermalisation, exploit **parameter degeneracies** in the CMB+LSS to **engineer a good fit**.
- No room for play within the  $\Lambda$ CDM model, but **extensions** of  $\Lambda$ CDM can help to **relax** the hot dark matter constraint on  $m_s$ :
  - Non-standard dark energy equation of state.
  - Modified gravity.
  - Non-flat spatial geometry.
  - Even more massless degrees of freedom.
  - ...

Elgarøy & Kristiansen 2011; Hamann, Hannestad, Raffelt & Y<sup>3</sup>W 2011  
Giusarma et al. 2012; Motohashi, Starobinsky & Yokoyama 2012

# Is there a way out? Plan B...

- Failing to suppress  $\nu_s$  thermalisation, exploit **parameter degeneracies** in the CMB+LSS to **engineer a good fit**.
- No room for play within the  $\Lambda$ CDM model, but **extensions** of  $\Lambda$ CDM can help to **relax** the hot dark matter constraint on  $m_s$ :
  - Non-standard dark energy equation of state.
  - Modified gravity.
  - Non-flat spatial geometry.
  - Even more massless degrees of freedom.
  - ...

1 x 1 eV sterile neutrino  
can be reasonably  
accommodated.

1 x 2eV or 2 x 1 eV is  
still problematic...

# Is there a way out? Plan B...

Modified gravity scenario to  
explain accelerated expansion  
in lieu of dark energy

- **An example:** accommodating 1eV sterile neutrinos with  $f(R)$  gravity:

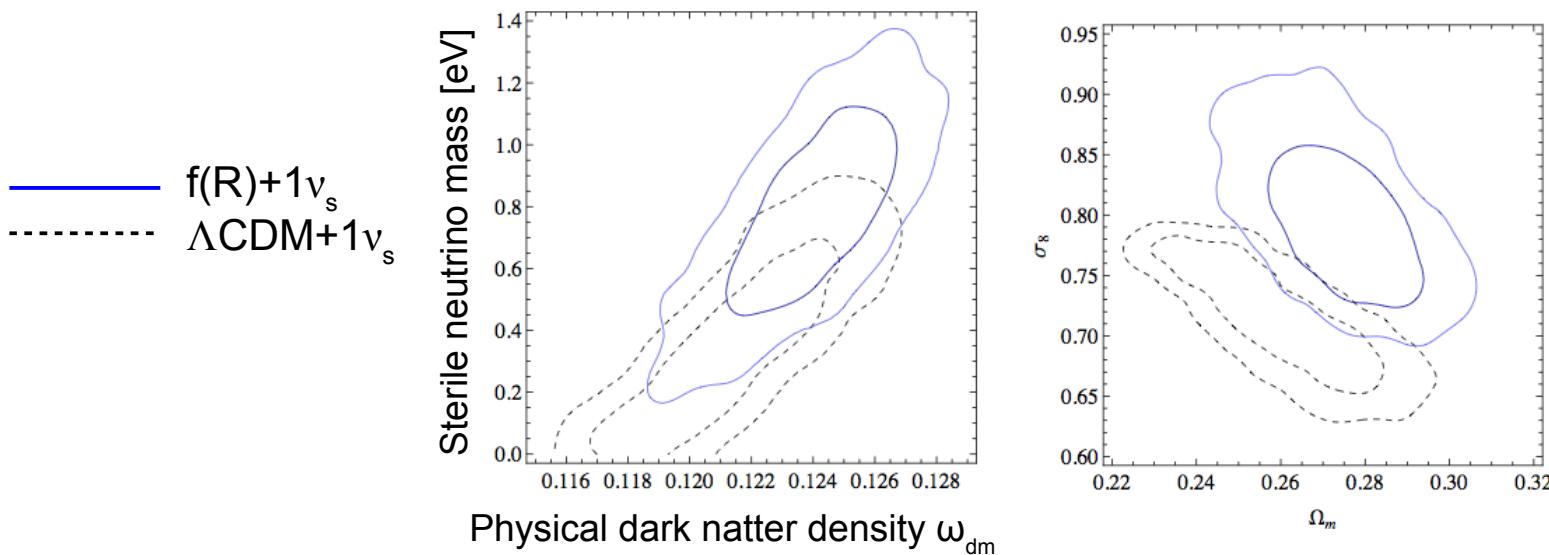
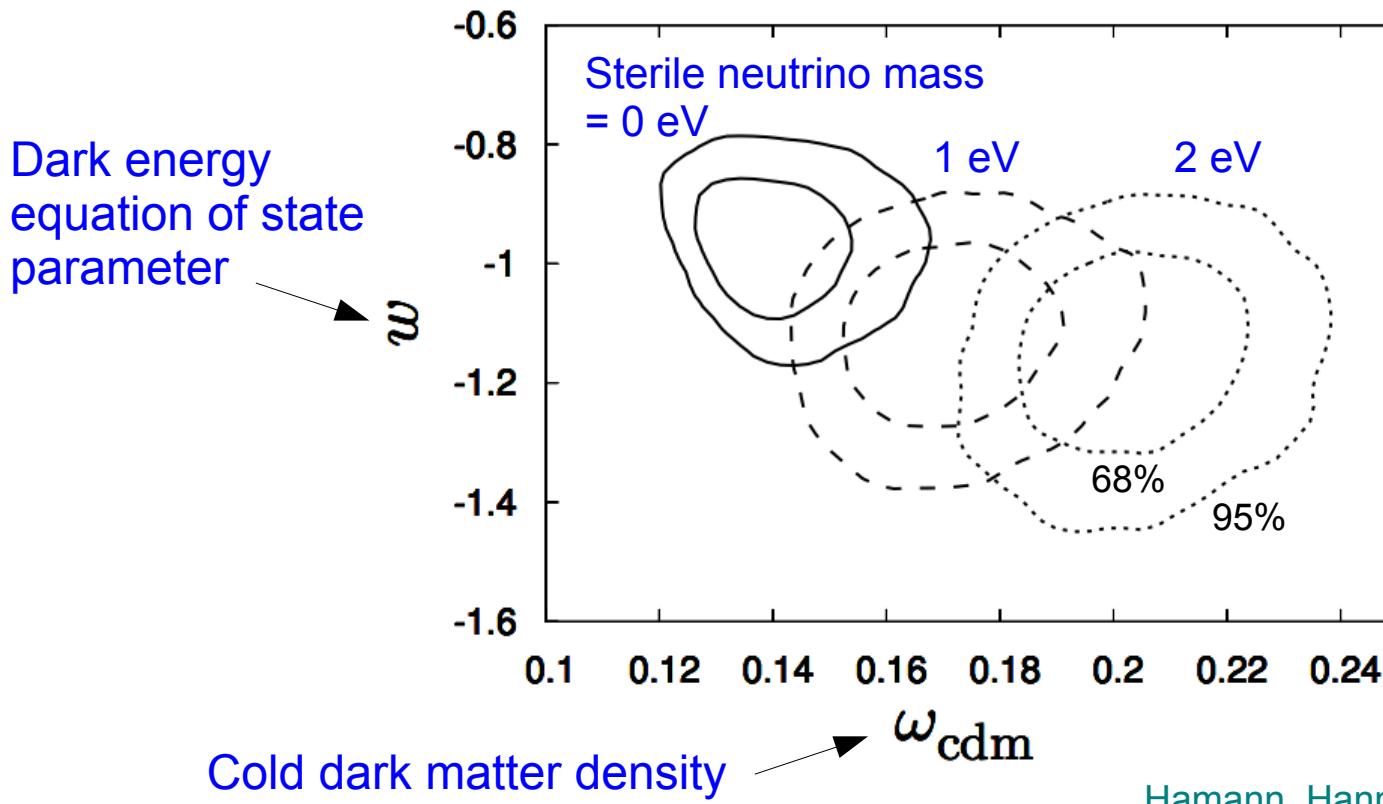


FIG. 1: 1 and  $2\sigma$  contours of the sterile neutrino mass (left) and  $\sigma_8$  (right) for the cases with three massless and one massive neutrinos in the  $\Lambda\text{CDM}$  model (dashed black) and  $f(R)$  gravity (solid blue).  $\chi^2_{\text{eff}} = 3774.1$  and  $3767.0$ , respectively.

# Necessary side effects...

- Exploiting parameter degeneracies also implies that other (unrelated) cosmological parameter values will change.



# Planck and $N_{\text{eff}}$ ...

- If  $N_{\text{eff}}$  is as large as 4, it will be settled **almost immediately** by Planck (launched May 14, 2009; public data release early 2013).

68% sensitivities

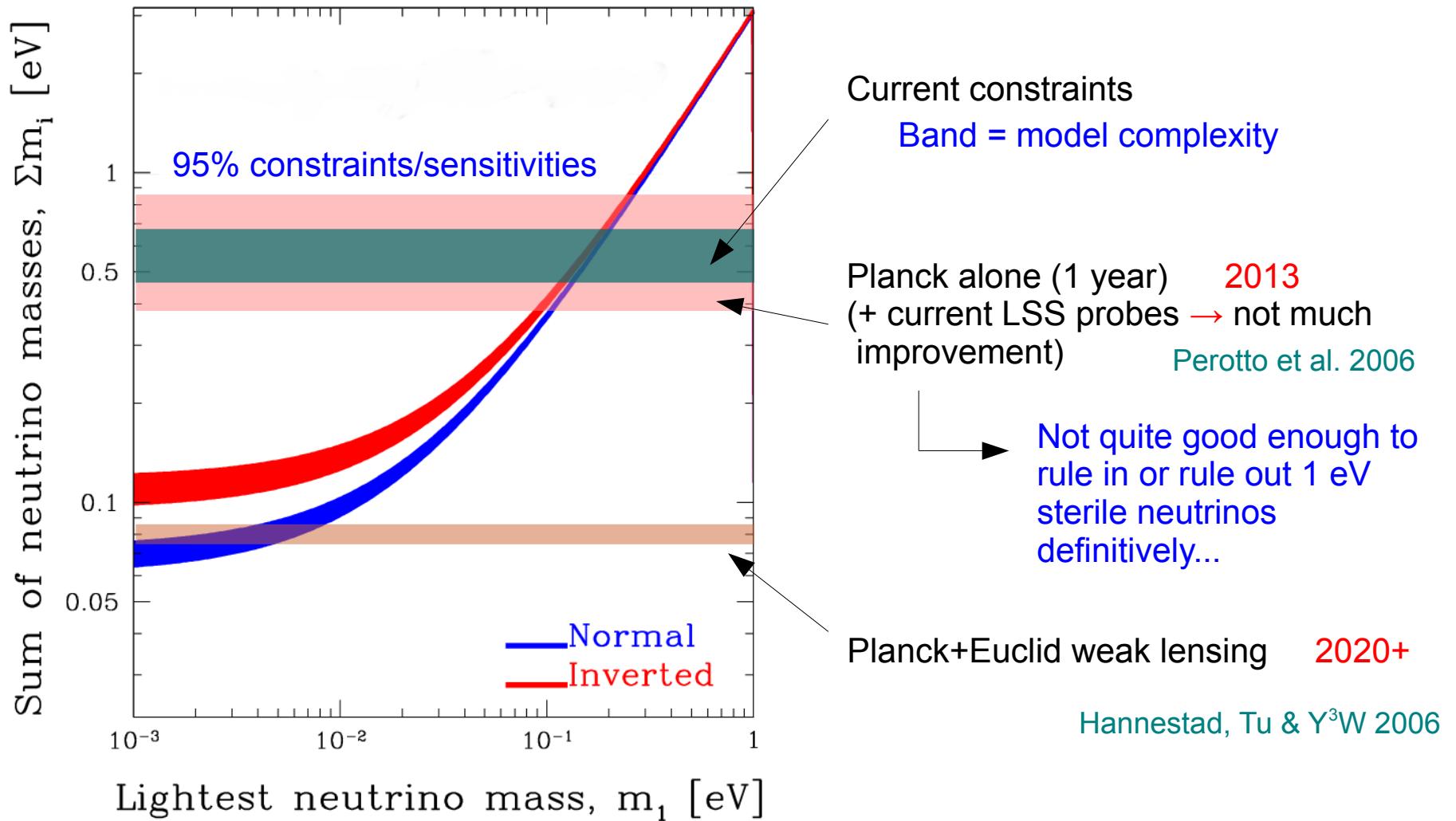
Experiment	$f_{\text{sky}}$	$\theta_b$	$w_T^{-1/2}$ [ $\mu \text{ K}'$ ]	$w_P^{-1/2}$ [ $\mu \text{ K}'$ ]	$\Delta N_\nu$ TT	$\Delta N_\nu$ TT+TE+EE	$\Delta N_\nu$ (free $Y$ ) TT+TE+EE
Planck	0.8	7'	40	56	0.6	<u>0.20</u>	<u>0.24</u>
ACT	0.01	1.7'	3	4	1	0.47	0.9
ACT + Planck					0.4	0.18	0.24
CMBPOL	0.8	4'	1	1.4	0.12	0.05	0.09

Bashinsky & Seljak 2004

Helium fraction  
as a free parameter



# Planck and neutrino mass...



# Summary...

- Current precision cosmological data show a preference for extra **relativistic degrees of freedom** (beyond 3 neutrinos).
- **Sterile neutrino** interpretation of short baseline neutrino anomalies does not quite fit into the simplest picture though...
  - 3+2: **Too many** for BBN
  - 3+1, 3+2: **Too heavy** for CMB/LSS
- Non-trivial **extensions to  $\Lambda$ CDM** can reasonably accommodate 1 x 1 eV fully thermalised sterile neutrino species.
- **Planck with tell** (at least part of the story).