

Inflation in *Flatland*

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Flatland?

- Most of my time will be spent discussing the physics of inflation in $2+1$ dimensions.
- Gravity is particularly simple in $2+1$ dimensions; there are no local gravitational degrees of freedom — corresponds to no tensor modes in inflation ([Deser, Jackiw, 't Hooft](#))
- The primary thing of interest is the relationship between cosmological [adiabatic modes](#) and [asymptotic symmetries](#) of de Sitter space
- Asymptotic symmetry algebra of 3d de Sitter space is well-known, and is very simple: two copies of the Virasoro algebra ([Brown, Henneaux; Strominger](#))
- Another motivation: the scalar consistency relations in $3+1$ dimensions can be traced back to the conformal symmetries of spatial slices, in $2+1$ dimensions, this is infinite-dimensional



Soft theorems

- The primary motivation for this type of investigation is to get a better understanding of soft theorems in cosmology
- Soft theorems are ubiquitous in theories with non-linearly realized symmetries

e.g., Adler's zero in pion physics: $\lim_{q \rightarrow 0} \langle \pi_q^a \pi_{k_1}^{b_1} \cdots \pi_{k_n}^{b_n} \rangle = 0$

Double-soft limit here is particularly interesting

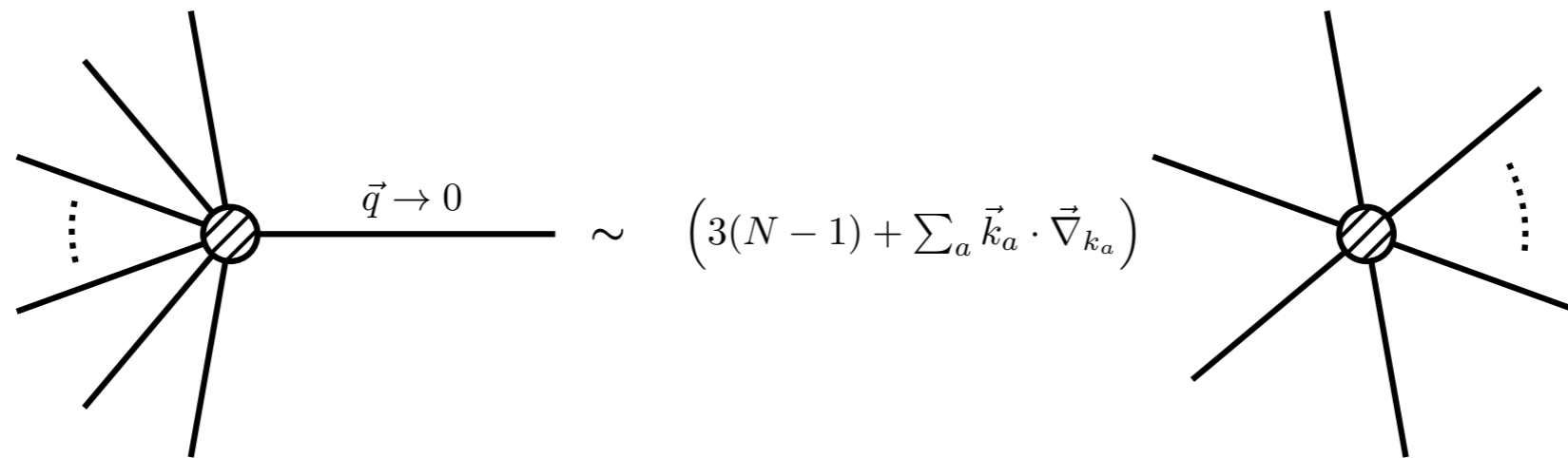
- Also play an important role in gauge theories (e.g., Weinberg's soft graviton theorem)

$$\text{[Diagram 1]} + \text{[Diagram 2]} + \dots = \text{[Diagram 3]} \times \sum_i \frac{\pm g_i k_i^{\mu_1} k_i^{\mu_2}}{k_i \cdot p}$$

These identities have recently been understood as consequences of **asymptotic symmetries** in flat space (Strominger + many others)

Soft limits in cosmology (in 3+1d)

- Squeezed limits of cosmological correlators are related to symmetry-transformed lower-point functions



- e.g., Maldacena's consistency relation

$$\lim_{k_1 \rightarrow 0} \frac{1}{P_\zeta(k_1)} \langle \zeta_{k_1} \zeta_{k_2} \zeta_{k_3} \rangle' = -(n_s - 1) P_\zeta(k)$$

- These relations follow from symmetry, but to turn symmetry statements into correlator statements requires some assumptions

Soft limits in cosmology (in 3+1d)

- Hold in models of inflation satisfying **technical assumptions**:
 - there is only a single clock (roughly, single field)
 - modes start in the Bunch-Davies vacuum
 - ζ goes to a constant at long wavelengths
- These relations are sharp null-tests, and violations of them can be striking signatures of new physics (example: **Quasi-single field inflation**) (Chen, Wang; Akrani-Hamed, Maldacena)

$$\lim_{q \rightarrow 0} \frac{1}{P_\zeta(q)} \langle \zeta_q \zeta_k \zeta_k \rangle = -(n_s - 1) P_\zeta(k) + \mathcal{O} \left[\left(\frac{q}{k} \right)^\Delta P_s(\cos \theta) \right] \quad \Delta = \frac{3}{2} - \sqrt{\frac{9}{4} - \frac{m^2}{H^2}}$$

Standard derivation: “adiabatic modes”

- We are working in the context of a homogeneous FLRW background cosmology

$$ds^2 = -dt^2 + a^2(t)d\vec{x}^2$$

which we imagine is sourced by a scalar field for simplicity

- We now want to perturb both the metric and the scalar and study the perturbations
- In order to do this, we typically have to fix a gauge, a convenient choice is to use ADM variables

$$ds^2 = -N^2 dt^2 + h_{ij}(dx^i + N^i dt)(dx^j + N^j dt)$$

and fix the spatial metric to be $h_{ij} = a^2(t)e^{2\zeta} (e^\gamma)_{ij}$

along with leaving the scalar unperturbed $\delta\phi = 0$

- Standard procedure: solve for lapse and shift, plug back in to the action to get an action for only ζ and γ

Standard derivation: “adiabatic modes”

- Even after gauge-fixing, there are always residual “large gauge transformations” which act as genuine symmetries

$$h_{ij} = a^2(t) e^{2\zeta} (e^\gamma)_{ij}$$

- Setting the tensors to zero for the time being, there are residual diffs:

$$\xi^i = \lambda(t) x^i \qquad \xi^i = 2b^j(t) x_j x^i - \vec{x}^2 b^i(t)$$

- These lead to a shift of ζ of the form:

$$\delta\zeta = \lambda(t) \left(1 + \vec{x} \cdot \vec{\nabla}\right) \zeta \qquad \delta\zeta = 2\vec{b}(t) \cdot \vec{x} + \left(2\vec{b}(t) \cdot \vec{x} x^i - \vec{x}^2 b^i(t)\right) \partial_i \zeta$$

these should be symmetries of the gauge-fixed action

Adiabatic modes

(Weinberg; Creminelli, Norena, Simonovic; Hinterbichler, Hui, Khoury)

- Actually, that is a bit too quick — there are constraint equations in GR and these diffs will not in general induce the correct lapse and shift

$$N^{(1)} = \frac{\dot{\zeta}}{H} \quad N_i^{(1)} = -\frac{1}{H} \partial_i \zeta + \frac{a^2 \epsilon}{c_s^2} \frac{\partial_i \dot{\zeta}}{\nabla^2}$$

- Demanding that these equations are preserved by a diff:

$$\delta\zeta = \frac{1}{3} \partial_i \xi^i \quad \delta N^i = \dot{\xi}^i \quad \delta N = 0$$

constrains the time dependence of the diff parameters:

$$\xi^i = \lambda x^i \quad \xi^i = 2b^j x_j x^i - \vec{x}^2 b^i - 2b^i \int \frac{dt}{aH}$$

- Interpretation: large diffs are inducing $k = 0$ profiles for ζ , the adiabatic mode condition ensures that these profiles are the zero momentum limit of a **physical** profile that solves the Einstein equations — similar story for tensors

From adiabatic modes to soft theorems

- Adiabatic modes are physical profiles that can be introduced by a diffeomorphism
- A local observer should not be able to tell whether or not they are in such a long-wavelength background



- A correlation function in the presence of a soft mode is related to a correlator without the soft mode, but in **transformed coordinates**

$$\langle \zeta(x_1) \cdots \zeta(x_n) \rangle_{\zeta_L} = \langle \zeta(\tilde{x}_1) \cdots \zeta(\tilde{x}_n) \rangle$$

- This leads to the inflationary soft theorems (can also be derived as Ward identities employing standard machinery)

To three dimensions

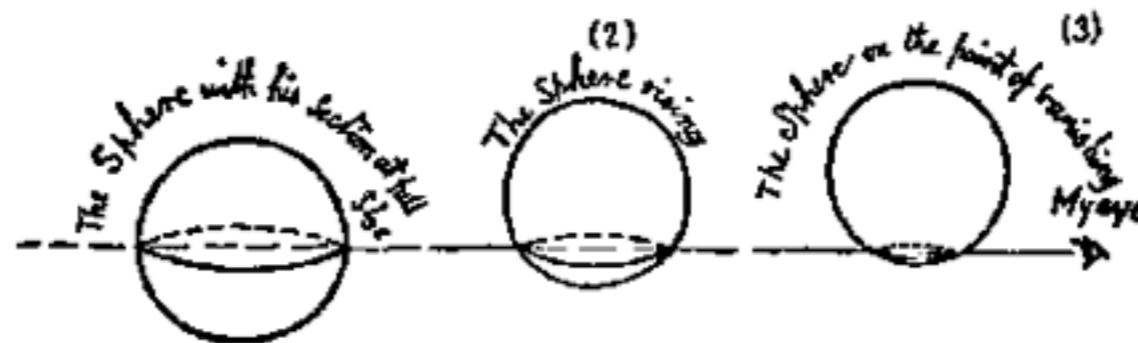
Inflation in three dimensions

Some predictions:

- $r = 0$ (there are no local graviton perturbations)



- You can't step over stuff...



Nevertheless, it is an illuminating theoretical playground, so let's continue

Adiabatic modes in 2+1

- We would like to repeat the adiabatic mode construction in 3d, convenient to use complex coordinates

- The gauge choice $h_{ij} = e^{2\zeta} (e^\gamma)_{ij}$ for the spatial metric is preserved by any harmonic diff. $z \mapsto z + \xi^z$ $\bar{z} \mapsto \bar{z} + \xi^{\bar{z}}$

$$\partial\bar{\partial}\xi^i = 0$$

- Harmonic functions can be split into holomorphic and anti-holomorphic parts

$$\xi^i = f^i(z, \eta) + \bar{g}^i(\bar{z}, \eta)$$

- With general time dependence, these transformations will not satisfy the constraint equations, this restricts time dependence

$$\xi^z(z, \eta) = -z^{n+1}, \quad \xi^{\bar{z}}(z, \eta) = -n(n+1)z^{n-1} \int_\eta^0 \frac{d\eta'}{aH}$$

- There is also another type of allowed transformation: $\xi^z = \bar{g}^z(\bar{z})$
we'll come back to these.

Adiabatic puzzles

- This adiabatic mode condition is kind of puzzling — *any residual* diffeomorphism formally should be a symmetry of the gauge-fixed action
- Why are we forced to restrict these diffeomorphisms by imposing the adiabatic mode condition? Basically forces diffs. to induce **physical** modes
- It would be nice to think about this in a different way, hopefully to connect to something more fundamental
- There has been a lot of work on flat space soft theorems and their connections to asymptotic symmetries, is there a similar story here?

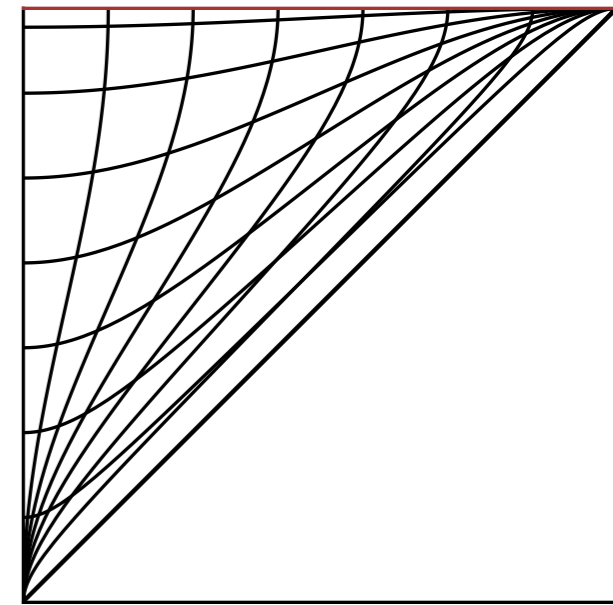
Asymptotic symmetries

- **Asymptotic symmetries:** the group of diffeomorphisms which preserve the asymptotic structure of the theory (some boundary conditions)
 - *E.g.* in flat space for gravity, the asymptotic symmetry group is the set of all diffeomorphisms which preserve the structure at null infinity, form the BMS group
- The group that you get depends on the boundary conditions that you demand, there is **no unique** asymptotic symmetry group
- We will find that the adiabatic mode transformations that we just derived agree precisely with the asymptotic symmetries of dS_3

Asymptotic symmetry group of dS_3

- It is convenient to write de Sitter in terms of complex coordinates

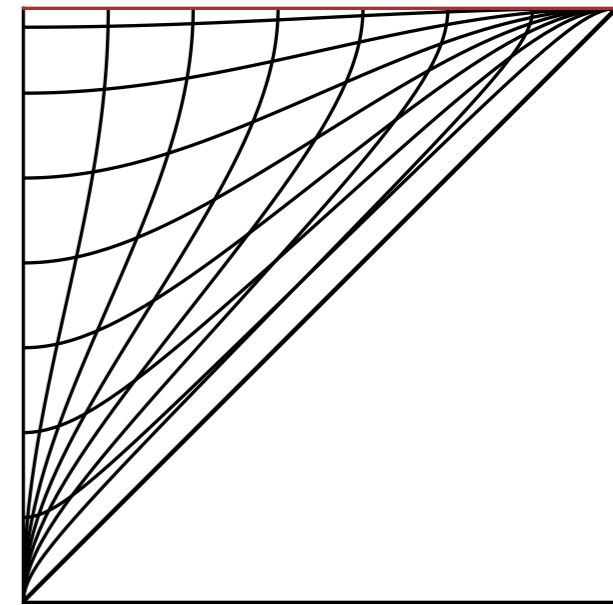
$$ds^2 = \frac{1}{H^2 \eta^2} (-d\eta^2 + dzd\bar{z})$$



- To get the asymptotic symmetry group we impose some boundary conditions at temporal infinity ($\eta \rightarrow 0$)
- Ask for all diffeomorphisms which preserve the boundary conditions ([Brown-Henneaux](#))

$$\begin{aligned} g_{\eta\eta} &= -\frac{1}{H^2 \eta^2} + \mathcal{O}(1); & g_{zz} &= g_{\bar{z}\bar{z}} = \mathcal{O}(1); \\ g_{z\bar{z}} &= \frac{1}{2H^2 \eta^2} + \mathcal{O}(1); & g_{\eta z} &= g_{\eta\bar{z}} = \mathcal{O}(1). \end{aligned}$$

Asymptotic symmetry group of dS_3



- Most general diff. which does this is of the form (Strominger)

$$\xi = \frac{\eta}{2} U'(z) \partial_\eta + U(z) \partial + \frac{\eta^2}{2} U''(z) \bar{\partial}$$

plus an independent thing where everything is conjugated

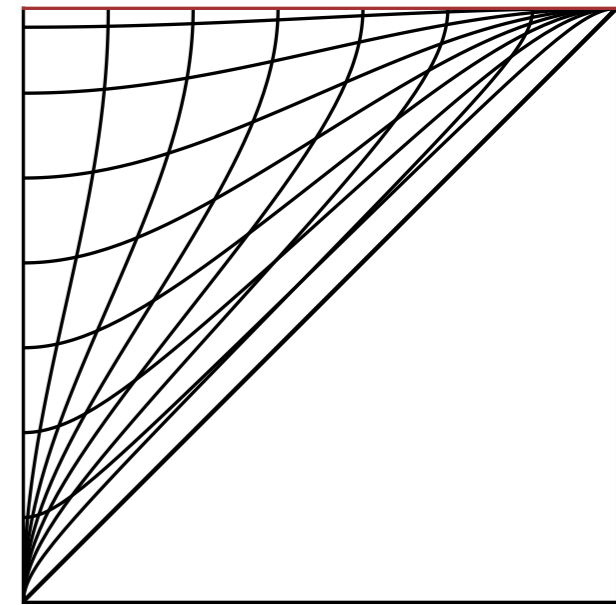
- Break this up into modes $U(z) = - \sum_n a_n z^{n+1}$

$$\ell_n = - \frac{(n+1)}{2} z^n \eta \partial_\eta - z^{n+1} \partial - \frac{\eta^2}{2} n(n+1) z^{n-1} \bar{\partial}$$

these satisfy the algebra $[\ell_n, \ell_m] = (n-m)\ell_{n+m}$

there is also an anti-holomorphic copy, two copies of Witt algebra, which gets centrally extended to 2 Virasoros

Asymptotic symmetry group of dS_3



- Recall adiabatic modes

$$\xi^z(z, \eta) = -z^{n+1}, \quad \xi^{\bar{z}}(z, \eta) = -n(n+1)z^{n-1} \int_{\eta}^0 \frac{d\eta'}{aH}$$

- Asymptotic symmetries

$$\xi^{\eta} = -\frac{(n+1)}{2} z^n \eta \quad \xi^z = -z^{n+1} \quad \xi^{\bar{z}} = -\frac{\eta^2}{2} n(n+1) z^{n-1}$$

- These are the same vector fields as the ones which generate adiabatic modes!
- However, do not reproduce the “tensor” adiabatic modes — these require different boundary conditions

Interpretation

- After doing one of these diffeomorphisms, the line element looks like

$$ds^2 = \frac{1}{H^2 \eta^2} (-d\eta^2 + dzd\bar{z}) + \frac{1}{2H^2} \partial^3 U(z) dz^2$$

- This is a physically distinct configuration, differing by insertions of soft gravitons
- Like spontaneous symmetry breaking, we are mapped to an equivalent vacuum
- This connects with the adiabatic mode condition, asymptotic symmetries are naturally the transformations that introduce physical long-wavelength modes

Action on the curvature perturbation

How do these symmetries act on the inflationary curvature perturbation?

- We know the adiabatic modes, so we could work this out using standard techniques, but it is interesting to see directly from the asymptotic symmetry perspective.

Consider the perturbed metric

$$ds^2 = \frac{1}{H^2 \eta^2} \left(-d\eta^2 + e^{2\zeta(\eta, z, \bar{z})} dz d\bar{z} \right)$$

- If zeta is a function of just z, this metric is asymptotically dS (after coordinate transformations)

$$ds^2 = \frac{1}{H^2 \eta^2} \left(-d\eta^2 + dz d\bar{z} \right) + \frac{1}{H^2} \partial^2 \zeta dz^2$$

Action on the curvature perturbation

- This is precisely of the form that can be induced by an asymptotic symmetry transformation

- A symmetry ℓ_n inserts a profile of the form $\zeta \sim z^n$

$$\delta_n \zeta = -\frac{1}{2}(n+1)z^n - z^{n+1} \partial \zeta$$

- In terms of Cartesian coordinates, these symmetries look like

$$\delta_{i_1 \dots i_n} \zeta \propto x^{(i_1} \dots x^{i_n)} + \mathcal{O}(\zeta)$$

- These transformations for ζ are symmetries of the gauge-fixed action, should have corresponding soft theorems
- Note that there is an infinite number of scalar symmetries, in contrast to higher dimensions

Soft-ζ Theorems

The nonlinearly realized symmetries lead to Ward identities relating (N+1) and N-point functions.

$$\langle \Omega | [Q_n, \mathcal{O}] | \Omega \rangle = -i \langle \Omega | \delta_n \mathcal{O} | \Omega \rangle$$

- Explicitly this takes the form

$$\lim_{q, \bar{q} \rightarrow 0} \frac{\partial^n}{\partial \bar{q}^n} \left(\frac{1}{2P_\zeta(q, \bar{q})} \langle \zeta_{q, \bar{q}} \mathcal{O} \rangle'_c \right) = - \sum_{a=1}^{N-1} \left(\frac{\partial^n}{\partial \bar{k}_a^n} + \frac{1}{(n+1)} \bar{k}_a \frac{\partial^{n+1}}{\partial \bar{k}_a^{n+1}} \right) \langle \mathcal{O} \rangle'_c$$

- Simplest one that has no direct higher-dimensional analogue (n=2 relating 3 and 2 point function)

$$\lim_{q, \bar{q} \rightarrow 0} \frac{\partial^2}{\partial \bar{q}^2} \left(\frac{1}{2P_\zeta(q, \bar{q})} \langle \zeta_{q, \bar{q}} \zeta_{k_2, \bar{k}_2} \zeta_{k_3, \bar{k}_3} \rangle \right) = - \left(\frac{\partial^2}{\partial \bar{k}^2} + \frac{1}{3} \bar{k} \frac{\partial^3}{\partial \bar{k}^3} \right) \langle \zeta_{k, \bar{k}} \zeta_{k, \bar{k}} \rangle'$$

A check

- In order to explicitly check this relation, it is convenient to use EFT of inflation formalism (Creminelli et al.; Cheung et al.)

$$S = \int d^d x dt \sqrt{-g} \left(\frac{M_{\text{Pl}}^{(d-1)}}{2} R - c(t) g^{00} - \Lambda(t) + \frac{M_2(t)}{2} (\delta g^{00})^2 + \frac{M_3(t)}{3!} (\delta g^{00})^3 + \dots \right),$$

- work in the decoupling limit

$$M_{\text{Pl}} \rightarrow \infty \quad \dot{H} \rightarrow 0 \quad M_{\text{Pl}}^{(d-1)} \dot{H} = \text{fixed}$$

$$S = - \frac{(d-1) M_{\text{Pl}}^{(d-1)} \dot{H}}{2c_s^2} \int d^d x dt a^d \left(\dot{\pi}^2 - \frac{c_s^2}{a^2} (\nabla \pi)^2 + (c_s^2 - 1) \frac{1}{a^2} \dot{\pi} (\nabla \pi)^2 \right).$$

- squeezed limit

$$\lim_{k_1 \rightarrow 0} \langle \zeta_{k_1} \zeta_{k_2} \zeta_{k_3} \rangle' = \frac{(D-1)}{3} P_\zeta(k_1) P_\zeta(k_2) (1 - c_s^{-2}) \left((D-2) \frac{k_1^2}{k_2^2} - \frac{(D-3)(D+1)}{4} \frac{(\vec{k}_1 \cdot \vec{k}_2)^2}{k_2^4} \right)$$

Conclusions

- Asymptotic symmetries and scalar adiabatic modes seem to be in one-to-one correspondence (at least in this simplified setting)
- It would be nice to make this same kind of explicit connection in $3+1$ dimensions
- “Tensor” adiabatic modes seem to require less stringent boundary conditions, would be nice to understand what these are. More generally, it is not clear how adiabatic modes pick out preferred BCs
- 3d inflation provides a nice simplified setting to explore many issues; correlation functions satisfy an infinite number of soft theorems involving only the scalar perturbation
- There should be a dual CFT derivation/interpretation of these results, along with extension to multi-field scenarios