

High-Energy Cosmogenic Neutrinos

Markus Ahlers

UW-Madison & WIPAC

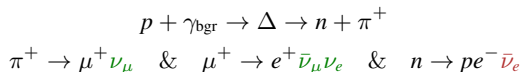
HEM 2014

Chicago, June 9–11, 2014

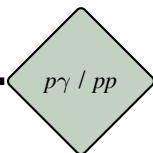


Cosmogenic neutrinos

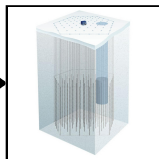
- *cos-mo-gen-ic* (adj.): “produced by cosmic rays”
- ✗ but this is true for all high-energy neutrinos. . .
- **more specifically**: not in the source or atmosphere, but during **CR propagation**
- most plausibly via pion production in $p\gamma$ interactions, *e.g.*



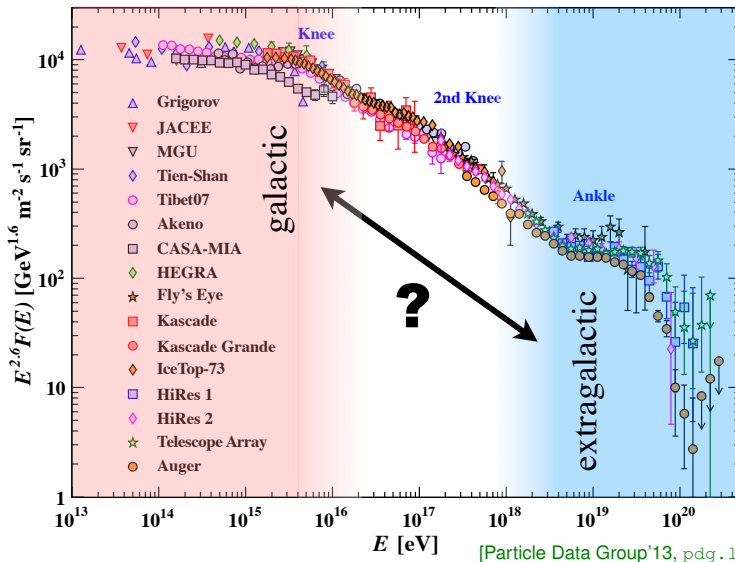
(*e.g.* Centaurus A)



propagation



Ultra-High Energy (UHE) Cosmic Rays (CRs)



Cosmogenic neutrinos

- Observation of UHE CRs and extragalactic radiation backgrounds “guarantee” a flux of high-energy neutrinos, in particular via resonant production in CMB.
[Berezinsky & Zatsepin'69]
- “Guaranteed”, but with many model uncertainties and constraints:
 - **(low cross-over) proton models + CMB (+ EBL)**
[Berezinsky & Zatsepin'69; Yoshida & Teshima'93; Protheroe & Johnson'96; Engel, Seckel & Stanev'01; Fodor, Katz, Ringwald & Tu'03; Barger, Huber & Marfatia'06; Yuksel & Kistler'07; Takami, Murase, Nagataki & Sato'09, MA, Anchordoqui & Sarkar'09]
 - **+ mixed compositions**
[Hooper, Taylor & Sarkar'05; Ave, Busca, Olinto, Watson & Yamamoto'05; Allard, Ave, Busca, Malkan, Olinto, Parizot, Stecker & Yamamoto'06; Anchordoqui, Goldberg, Hooper, Sarkar & Taylor'07; Kotera, Allard & Olinto'10; Decerprit & Allard'11; MA & Halzen'12]
 - **+ extragalactic γ -ray background limits**
[Berezinsky & Smirnov'75; Mannheim, Protheroe & Rachen'01; Keshet, Waxman, & Loeb'03; Berezinsky, Gazizov, Kachelriess & Ostapchenko'10; MA, Anchordoqui, Gonzalez-Garcia, Halzen & Sarkar'10; MA & Salvado'11; Gelmini, Kalashev & Semikoz'12]

GZK neutrinos from CMB

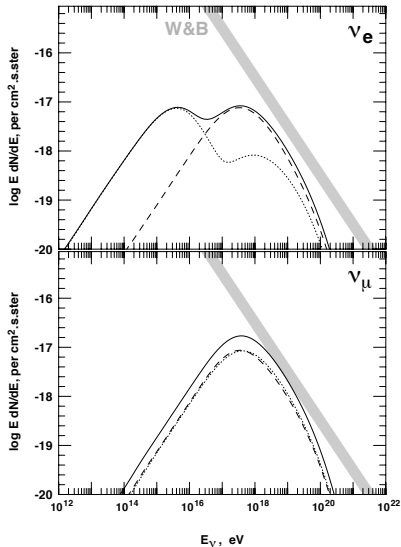
- Greisen-Zatsepin-Kuzmin (GZK) interactions of ultra-high energy CRs with cosmic microwave background (CMB) [Greisen'66;Zatsepin/Kuzmin'66]
- “GZK”-neutrinos at EeV energies from pion decay [Berezinsky/Zatsepin'69]

- three neutrinos ($\nu_\mu/\bar{\nu}_\mu/\nu_e$) from π^+ :

$$E_{\nu_\pi} \simeq \frac{1}{4} \langle x \rangle E_p \simeq \frac{1}{20} E_p$$

- one neutrino from neutron decay:

$$E_{\bar{\nu}_e} \simeq \frac{m_n - m_p}{m_n} E_p \simeq 10^{-3} E_p$$



[Engel, Stanev & Seckel'01]

Flavor Composition

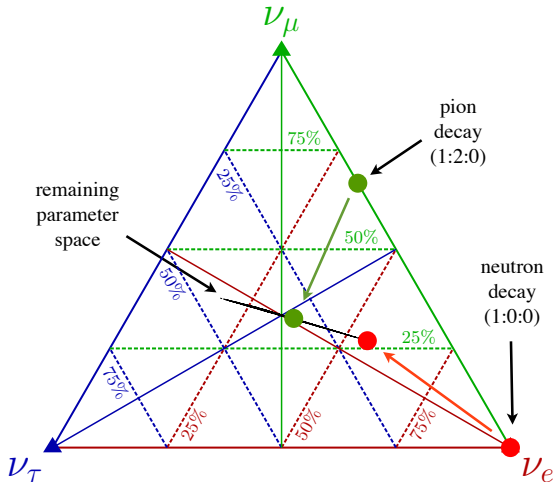
- in general, **initial flavor ratio** ($\nu_e:\nu_\mu:\nu_\tau$) depend on process and environment
- mixing between flavor and mass eigenstates

$$|\nu_\alpha\rangle = \sum_j U_{\alpha j}^* |\nu_j\rangle,$$

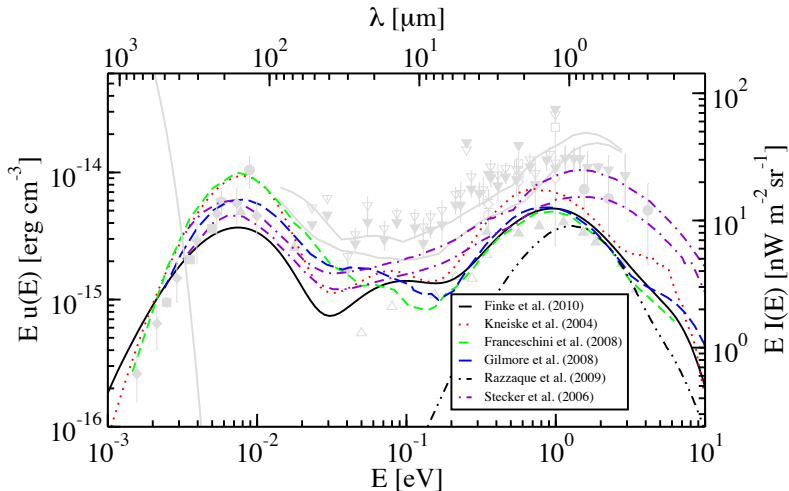
- flavor oscillations **average out** over cosmic distances

$$P_{\nu_\alpha \rightarrow \nu_\beta} \simeq \sum_i |U_{\alpha i} U_{\beta i}|^2$$

- remaining phase space
thin black line crossing
(1:1:1)



Extra-galactic background light (EBL)

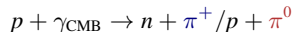


[Finke, Razzaque & Dermer'10]

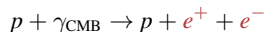
PeV cosmogenic neutrinos via optical-UV background: $E_\nu \simeq 8\text{PeV} (eV/E_\gamma)$

Cosmogenic neutrinos & gamma-rays

- GZK interactions produce neutral and charged pions



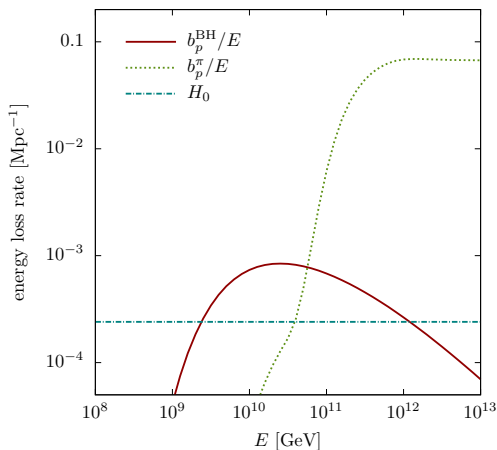
- Bethe-Heitler (BH) pair production:



→ BH is dominant energy loss process for UHE CR protons at $\sim 2 \times 10^9 \div 2 \times 10^{10}$ GeV.

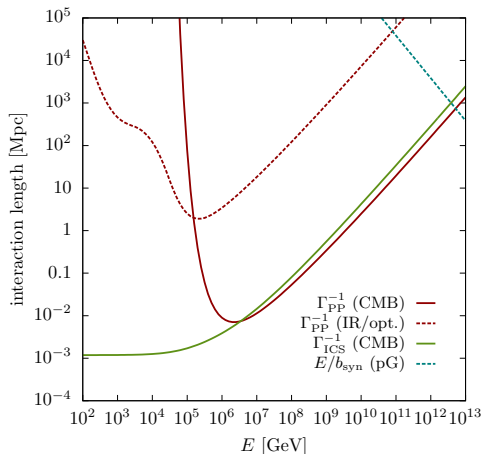
- **EM components** cascade in CMB/EBL and contribute to GeV-TeV γ -ray background

[Berezinsky&Smirnov'75]



Gamma-ray cascades

- CMB interactions (**solid lines**) dominate in cascade:
 - inverse Compton scattering (ICS)
 $e^\pm + \gamma_{\text{CMB}} \rightarrow e^\pm + \gamma$
 - pair production (PP)
 $\gamma + \gamma_{\text{CMB}} \rightarrow e^+ + e^-$
- PP in IR/optical background (**red dashed line**) determines the “edge” of the spectrum.
- this calculation:
Franceschini *et al.* '08



Rapid cascade interactions produce universal GeV-TeV emission (almost) independent of injection spectrum and source distribution.

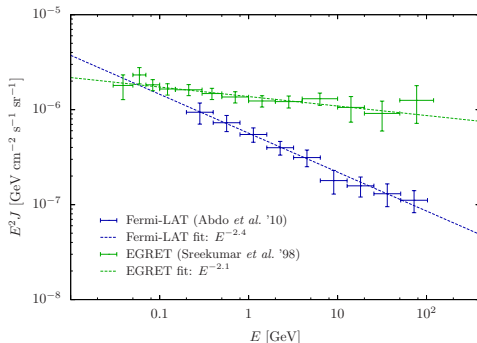
→ “**cascade bound**” for neutrinos

[Berezinsky&Smirnov'75]

Gamma-ray cascades

- CMB interactions (**solid lines**) dominate in cascade:
 - inverse Compton scattering (ICS)
 $e^\pm + \gamma_{\text{CMB}} \rightarrow e^\pm + \gamma$
 - pair production (PP)
 $\gamma + \gamma_{\text{CMB}} \rightarrow e^+ + e^-$
- PP in IR/optical background (**red dashed line**) determines the “edge” of the spectrum.
- this calculation: Franceschini *et al.* '08

diffuse γ -ray background

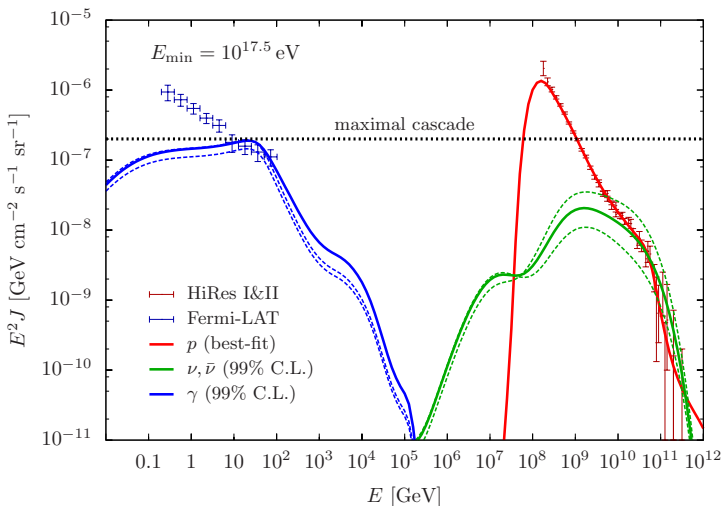


Rapid cascade interactions produce universal GeV-TeV emission (almost) independent of injection spectrum and source distribution.

→ “**cascade bound**” for neutrinos

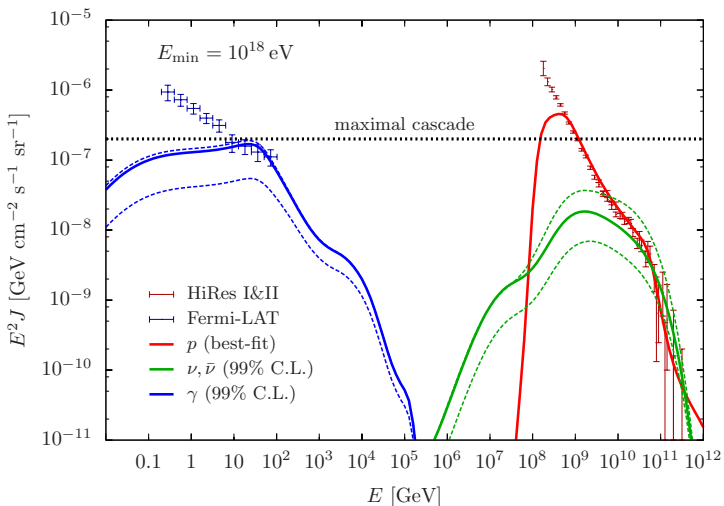
[Berezinsky&Smirnov'75]

Cosmogenic neutrinos from CR protons



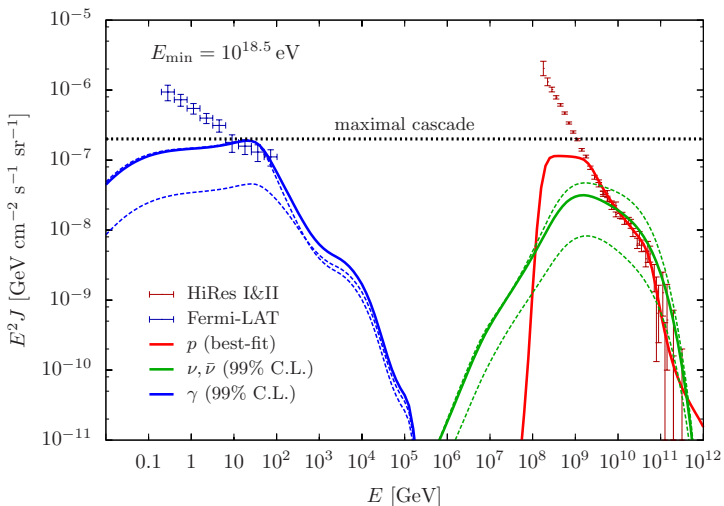
[MA, Anchordoqui, Gonzalez-Garcia, Halzen & Sarkar '11]

Cosmogenic neutrinos from CR protons



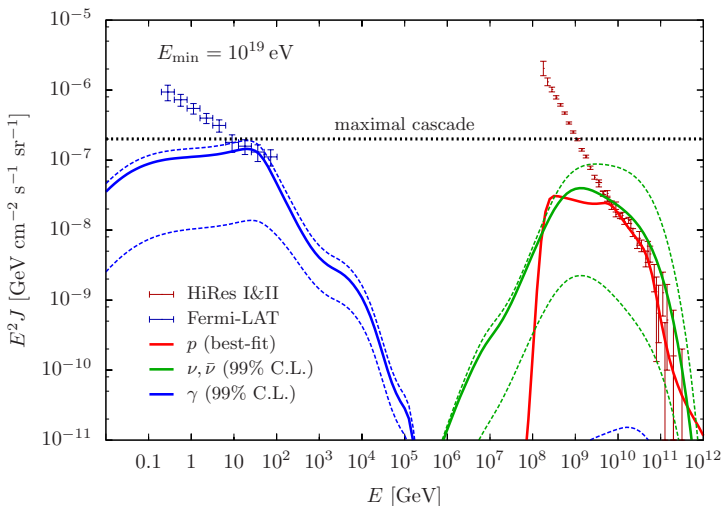
[MA, Anchordoqui, Gonzalez-Garcia, Halzen & Sarkar '11]

Cosmogenic neutrinos from CR protons



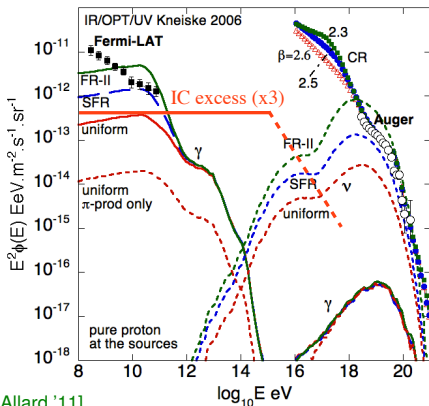
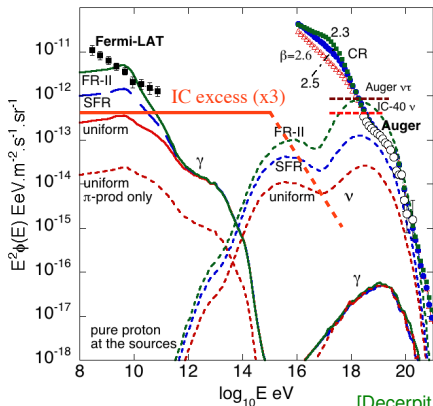
[MA, Anchordoqui, Gonzalez-Garcia, Halzen & Sarkar '11]

Cosmogenic neutrinos from CR protons



[MA, Anchordoqui, Gonzalez-Garcia, Halzen & Sarkar '11]

Cosmogenic neutrinos from CR protons

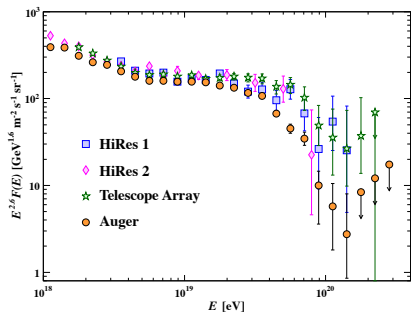


→ neutrino flux depend on source **evolution model** (strongest for “FR-II”) and **EBL model** (highest for “Stecker” model)

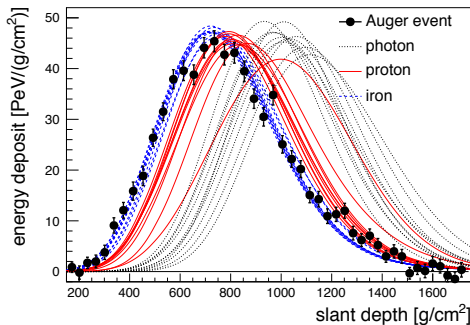
✗ “Stecker” model disfavored by Fermi observations of GRBs

✗ strong evolution disfavored by Fermi diffuse background

UHE CR composition



[PDG'13]

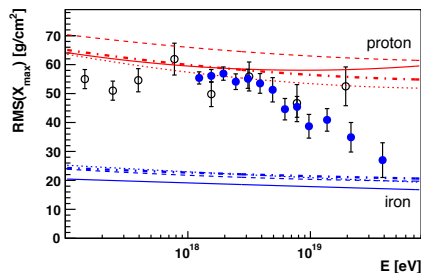
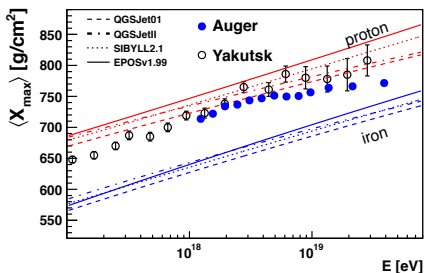
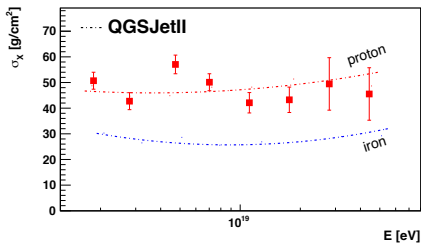
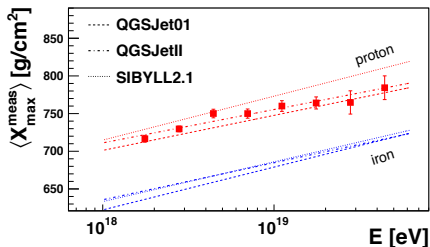


[Kampert&Unger'12]

- composition measurement on a statistical basis
- first two moments: $\langle X_{\max} \rangle$ & $\text{RMS}(X_{\max})$
- average mass inferred, *e.g.* from $\langle X_{\max} \rangle$:

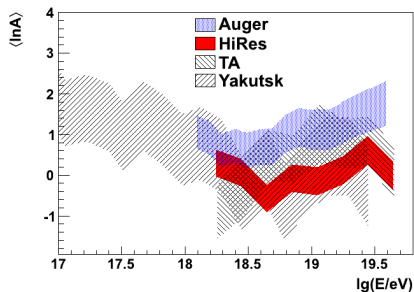
$$\langle \ln A \rangle = \frac{\langle X_{\max} \rangle_p - \langle X_{\max} \rangle_{\text{data}}}{\langle X_{\max} \rangle_p - \langle X_{\max} \rangle_{\text{Fe}}} \ln 56$$

UHE CR composition

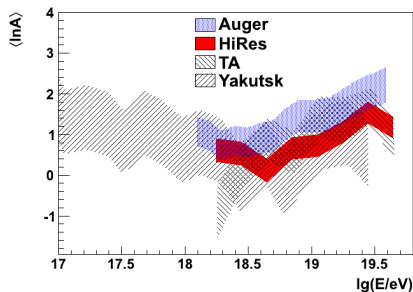


[Mass Composition Working Group Report '13; arXiv:1306.4430]

UHE CR composition



(a) using QGSJet-II model.

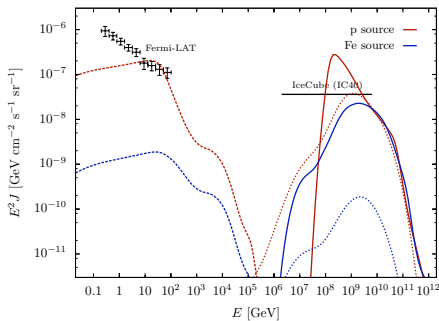
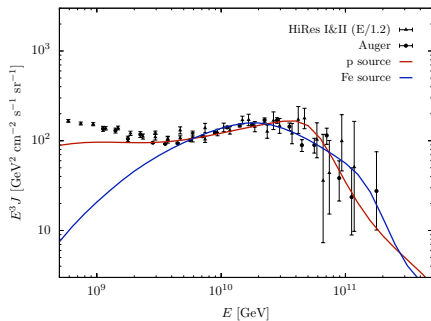


(b) using SIBYLL model.

[Mass Composition Working Group Report '13; arXiv:1306.4430]

- inferred mass depend on hadronic interactions models
- large systematic uncertainties!
- “Auger results are consistent within systematic uncertainties with TA and Yakutsk, but not fully consistent with HiRes.” [arXiv:1306.4430]

Composition dependence of UHE CR sources



- UHE CR emission toy-model:

- 100% proton: $n = 5$ & $z_{\max} = 2$ & $\gamma = 2.3$ & $E_{\max} = 10^{20.5}$ eV
- 100% iron: $n = 0$ & $z_{\max} = 2$ & $\gamma = 2.3$ & $E_{\max} = 26 \times 10^{20.5}$ eV
- Diffuse spectra of cosmogenic γ -rays (dashed lines) and neutrinos (dotted lines) **vastly different.**

[MA&Salvado'11]

Approximate* scaling law of energy densities

$$\omega_\nu \propto \underbrace{\sum_i A_i^{2-\gamma_i} \frac{E_{\text{th}}^2 Q_i(E_{\text{th}})}{2-\gamma_i}}_{\text{composition}} \times \underbrace{\int_0^{z_{\text{max}}} dz \frac{(1+z)^{n+\gamma_i-4}}{H(z)}}_{\text{evolution}}$$

* disclaimer:

- source composition Q_i with mass number A_i and index γ_i
- applies only to models with large rigidity cutoff $E_{\text{max},i} \gg A_i \times E_{\text{GZK}}$

previous examples ($z_{\text{max}} = 2$ & $\gamma = 2.3$):

- 100% proton: $n = 5$ & $E_{\text{max}} = 10^{20.5}$ eV
 $\omega_\gamma \propto 1 \times 12$
- 100% iron: $n = 0$ & $E_{\text{max}} = 26 \times 10^{20.5}$ eV
 $\omega_\gamma \propto 0.27 \times 0.5$

✓ **relative difference:** ~ 82 .

Cosmogenic neutrinos from heavy nuclei

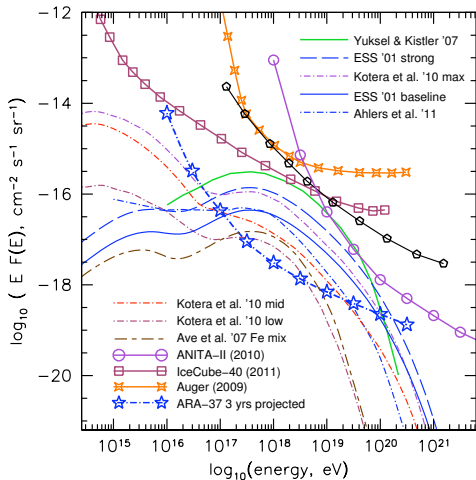


TABLE II: Expected numbers of events N_V from several UHE neutrino models, comparing published values from the 2008 ANITA-II flight with predicted events for a three-year exposure for ARA-37.

Model & references	N_V :	ANITA-II, (2008 flight)	ARA, 3 years
<i>Baseline cosmogenic models:</i>			
Protheroe & Johnson 1996 [27]		0.6	59
Engel, Seckel, Stanev 2001 [28]		0.33	47
Kotera, Allard, & Olinto 2010 [29]		0.5	59
<i>Strong source evolution models:</i>			
Engel, Seckel, Stanev 2001 [28]		1.0	148
Kalashev <i>et al.</i> 2002 [30]		5.8	146
Barger, Huber, & Marfatia 2006 [32]		3.5	154
Yuksel & Kistler 2007 [33]		1.7	221
<i>Mixed-Iron-Composition:</i>			
Ave <i>et al.</i> 2005 [34]		0.01	6.6
Stanev 2008 [35]		0.0002	1.5
Kotera, Allard, & Olinto 2010 [29] upper		0.08	11.3
Kotera, Allard, & Olinto 2010 [29] lower		0.005	4.1
<i>Models constrained by Fermi cascade bound:</i>			
Ahlers <i>et al.</i> 2010 [36]		0.09	20.7
<i>Waxman-Bahcall (WB) fluxes:</i>			
WB 1999, evolved sources [37]		1.5	76
WB 1999, standard [37]		0.5	27

[ARA'11]

Range of GZK neutrino predictions of various evolution models and source compositions range over **two orders of magnitude!**

Nucleon cascade

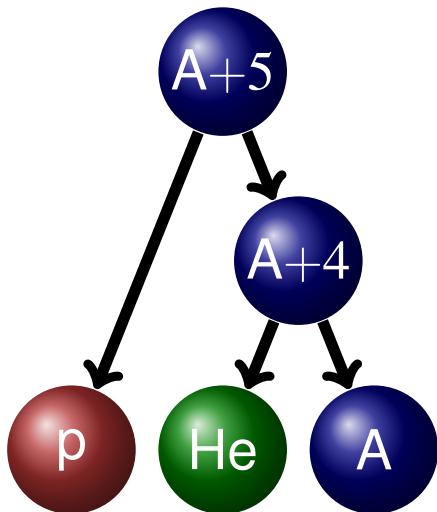
- Observed composition is result of source composition and nucleon cascades.
- **Backtracking** conserves energy per nucleon.
- ✗ Bethe-Heitler (BH) loss breaks this approximation

$$b_{A,BH}(E) \simeq Z^2 \times b_{p,BH}(E/A)$$

→ **Minimal cosmogenic neutrino** production from fit to Auger data assuming:

- **maximal** backtracking
- **minimal** BH loss

→ **minimal** nucleon emissivity



Nucleon cascade

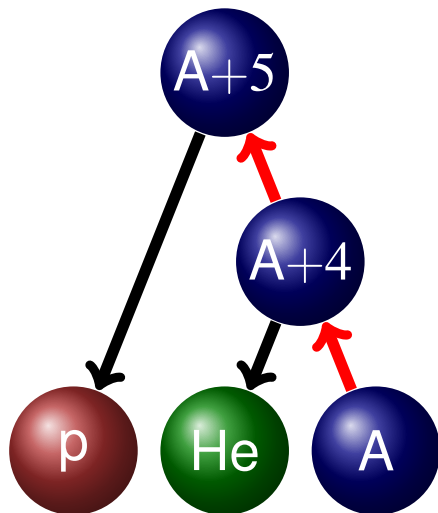
- Observed composition is result of source composition and nucleon cascades.
- **Backtracking** conserves energy per nucleon.
- ✗ Bethe-Heitler (BH) loss breaks this approximation

$$b_{A,BH}(E) \simeq Z^2 \times b_{p,BH}(E/A)$$

→ **Minimal cosmogenic neutrino** production from fit to Auger data assuming:

- **maximal** backtracking
- **minimal** BH loss

→ **minimal** nucleon emissivity



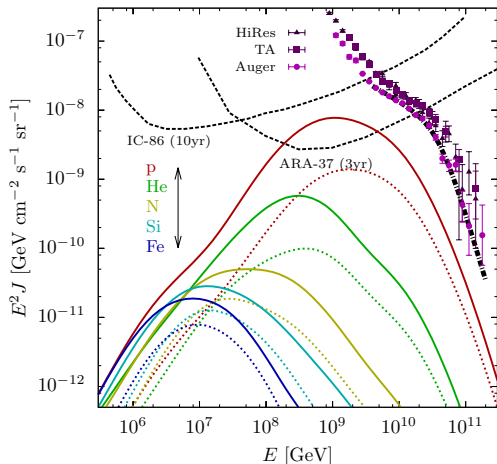
Guaranteed cosmogenic neutrinos

- nucleon spectrum for observed mass number A_{obs} :

$$J_N^{\text{min}}(E_N) = A_{\text{obs}}^2 J_{\text{CR}}(A_{\text{obs}} E_N)$$

- dependence on cosmic evolution of sources:
 - no evolution (dotted)
 - star-formation rate (solid)
- **ultimate test** of UHE CR proton models with **ARA-37**
- generalization to arbitrary composition via

$$J_N^{\text{min}}(E_N) = \sum_i f_i(A_i E_N) A_i^2 J_{\text{CR}}(A_i E_N)$$



[MA&Halzen'12]

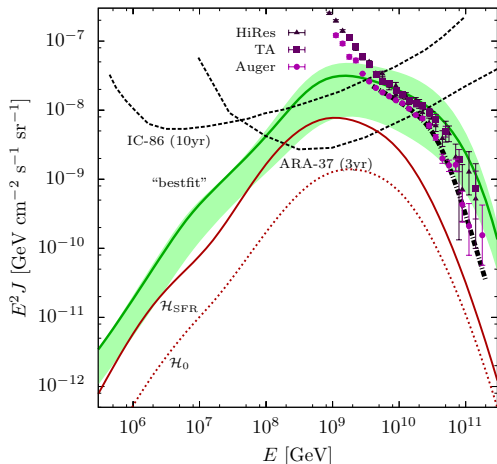
Guaranteed cosmogenic neutrinos

- nucleon spectrum for observed mass number A_{obs} :

$$J_N^{\text{min}}(E_N) = A_{\text{obs}}^2 J_{\text{CR}}(A_{\text{obs}} E_N)$$

- dependence on cosmic evolution of sources:
 - no evolution (dotted)
 - star-formation rate (solid)
- **ultimate test** of UHE CR proton models with **ARA-37**
- generalization to arbitrary composition via

$$J_N^{\text{min}}(E_N) = \sum_i f_i(A_i E_N) A_i^2 J_{\text{CR}}(A_i E_N)$$



[MA&Halzen'12]

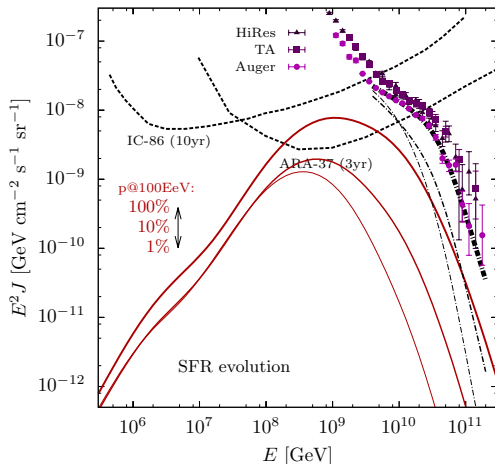
Guaranteed cosmogenic neutrinos

- nucleon spectrum for observed mass number A_{obs} :

$$J_N^{\text{min}}(E_N) = A_{\text{obs}}^2 J_{\text{CR}}(A_{\text{obs}} E_N)$$

- dependence on cosmic evolution of sources:
 - no evolution (dotted)
 - star-formation rate (solid)
- **ultimate test** of UHE CR proton models with **ARA-37**
- generalization to arbitrary composition via

$$J_N^{\text{min}}(E_N) = \sum_i f_i(A_i E_N) A_i^2 J_{\text{CR}}(A_i E_N)$$



[MA&Halzen'12]

Summary

- Cosmogenic neutrinos **guarantee** a diffuse flux of UHE neutrinos.
- Present neutrino limits start to **constrain** optimistic (proton-dominated) model.
- A cosmogenic origin of the IceCube “excess” at TeV-PeV energies is **very unlikely**.
- **Model uncertainties** of predictions are large (UHE CR source composition and evolution).
- Future EeV neutrino observatories (ARA or ARIANNA) will be able to **probe** proton-dominated CR models.

Backup

Diffuse CR fluxes

- **spatially homogeneous and isotropic** distribution of sources
- Boltzmann equation of comoving number density ($Y = n/(1+z)^3$):

$$\dot{Y}_i = \partial_E(HEY_i) + \partial_E(b_i Y_i) - \Gamma_i Y_i + \sum_j \int dE_j \gamma_{ji} Y_j + \mathcal{L}_i,$$

H : Hubble rate

b_i : continuous energy loss

$\gamma_{ji} (\Gamma_i)$: differential (total) interaction rate

- **power-law** proton emission rate:

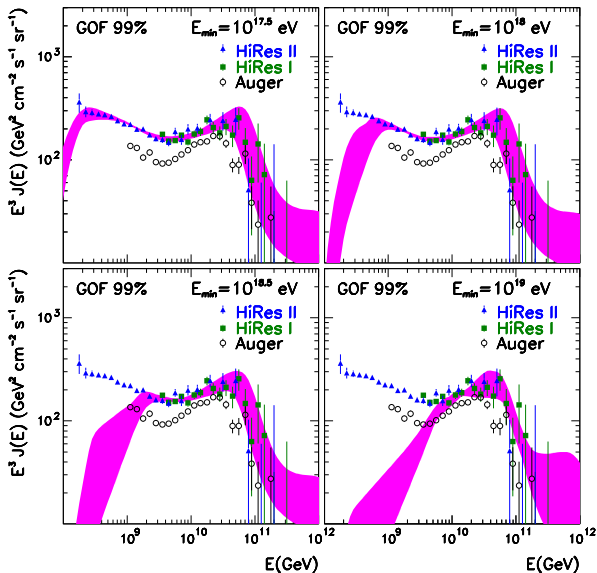
$$\mathcal{L}_p(0, E) \propto (E/E_0)^{-\gamma} \exp(-E/E_{\max}) \exp(-E_{\min}/E)$$

- **redshift evolution** of source emission or distribution:

$$\mathcal{L}_p(z, E) = \mathcal{L}_p(0, E)(1+z)^n \Theta(z_{\max} - z) \Theta(z - z_{\min})$$

Proton-dominance in UHE CRs?

- GoF based on Hires-I/II data ($\Delta E/E \simeq 25\%$)
- *fixed:*
 $E_{\max} = 10^{21}$ eV
 $z_{\min} = 0 / z_{\max} = 2$
- *priors:*
 $2.1 \leq \gamma \leq 2.9$
 $2 \leq n \leq 6$
 $\omega_{\text{cas}} \leq \omega_{\text{Fermi}}$
- range of spectra: 99% C.L.
- increasing crossover energy from 2nd knee to ankle



[MA, Anchordoqui, Gonzalez-Garcia, Halzen & Sarkar '11]

Propagation of CR nuclei

- fast photo-disintegration of nuclei (mass number $A = N + Z$) beyond the giant dipole resonance (GDR):

$$\lambda_{\text{GDR}} \sim \frac{4}{A} \text{ Mpc}$$

- ✗ strong influence of mass composition at very high energy

→ BUT: **conserves total number of nucleons** with nucleon energy E/A !

→ **Neutrino production (mostly) via γ -nucleon interaction!**

