

# EFT Beyond the Horizon: Stochastic Inflation and How Primordial Quantum Fluctuations Go Classical

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Williams, arXiv:1408.5002

Status and Future of Inflation Workshop, KICP, August 23  
2014

# Irksome Questions

What is the EFT for super-Hubble modes?

What is the systematic way of integrating out short distance modes when horizons and redshifting are present?

Why do quantum fluctuations re-enter the Hubble scale as classical distributions?

or

how does

$$\langle \Phi(x_1) \dots \Phi(x_n) \rangle \rightarrow \int \mathcal{D}\phi [\phi(x_1) \dots \phi(x_n)] P[\phi(\cdot)]$$

happen?

# Standard answer 1:

STOCHASTIC DE SITTER (INFLATIONARY) STAGE  
IN THE EARLY UNIVERSE

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Super horizon modes evolve independently in different Hubble patches; looks like a random walk, so concentrate on the probability distribution for coarse-grained field configurations.

## Standard answer 2: Decoherence without decoherence!

Squeezing of BD state during inflation drives it to a state with canonical momenta getting highly suppressed.

Expectation values only see **diagonal** part of the density matrix in the field basis (“pointer basis”) and so **look** classical

## What do we do?

- Give a field theoretical derivation of the Fokker-Planck equation of SI,
- Argue that it's not so much that the system looks classical, it really IS! Decoherence happens on the same time scale that squeezing does.

Our tool for this: Open EFT's

**Open EFT's**



The usual EFT story: have heavy fields and light fields and we want to construct an effective action only using the light fields

$$e^{iS_{\text{eff}}[\phi_L]} \equiv \int \mathcal{D}\psi_H e^{iS[\phi_L, \psi_H]}$$

Why does this work?

Need to be sure heavy fields don't get generated by light ones; energy-momentum conservation takes care of that!

But what if... There's no way to systematically stop the cross-talk between sectors?

This could happen for a particle moving through a medium it interacts with.

Or...if “heavy” modes keep getting converted to “light” modes.

Recall:

$$\langle \mathcal{O} \rangle(t) \equiv \text{Tr}(\rho(t)\mathcal{O}), \quad i\frac{\partial \rho(t)}{\partial t} = [H, \rho(t)] \Rightarrow \rho(t) = U(t, t_0)\rho(t_0)U^\dagger(t, t_0)$$

Now consider an operator defined on the total Hilbert space for heavy and light fields acting only on the light fields:

$$\mathcal{H}_{\text{tot}} = \mathcal{H}_L \otimes \mathcal{H}_H, \quad \mathcal{O} = \mathcal{O}_L \otimes \mathbb{I}_H$$

**Then:**  $\text{Tr}_{\mathcal{H}}(\rho\mathcal{O}) = \text{Tr}_{\mathcal{H}_L}(\rho_{\text{red}}\mathcal{O}_L), \quad \rho_{\text{red}} \equiv \text{Tr}_{\mathcal{H}_H}\rho$

How does  $\rho_{\text{red}}$  evolve in time?

IF:  $\rho_{\text{red}}(t) = U_{\text{red}}(t, t_0)\rho_{\text{red}}(t_0)U_{\text{red}}^\dagger(t, t_0)$

THEN: We could define an effective Hamiltonian that would generate light field correlators via

$$i\frac{\partial U_{\text{red}}(t, t_0)}{\partial t} = H_I^{\text{Eff}}(t)U_{\text{red}}(t, t_0)$$

BUT: This is **not** true in general!

The propagator for the reduced density matrix is the influence functional and it knows about the open channels the light field could lose energy into.

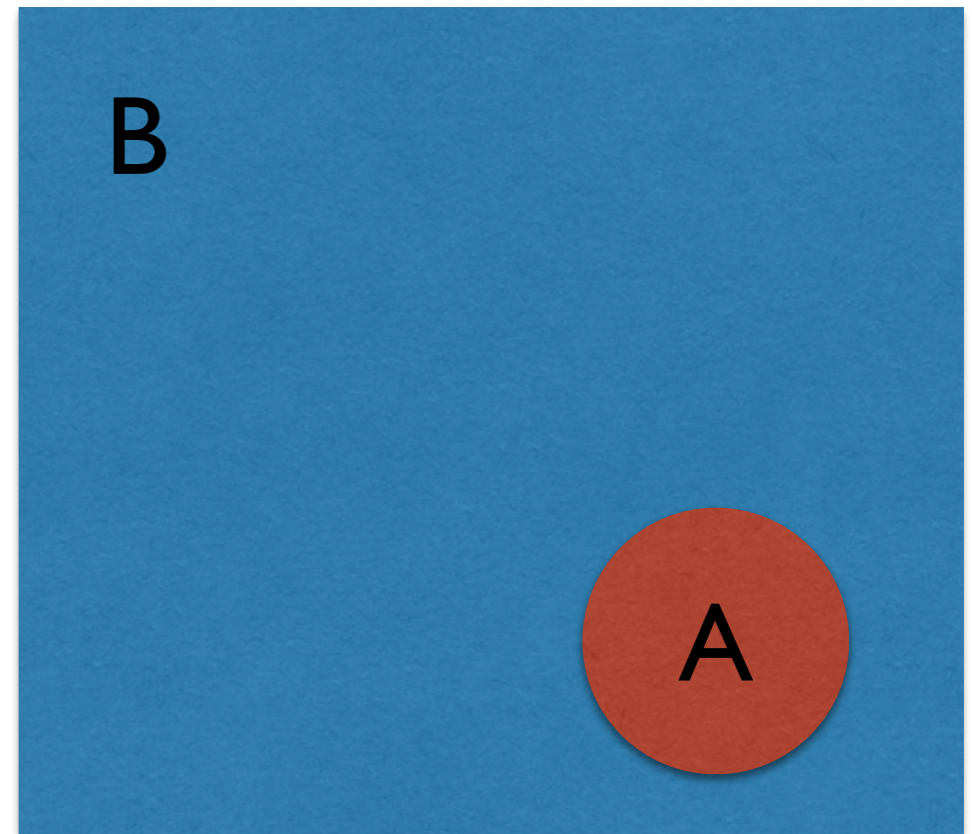
The upshot:

There's no point in looking for an effective **action** formulation for open systems.

BUT

This does NOT mean there is no EFT! It's just that the systematics and the goal are different.

$$H = H_A + H_B + V_{AB}$$



S'pose we want to focus on the physics of subsystem A.

We can set up something EFT-like, IF there is a hierarchy of time scales and IF we can assume that B does not change appreciably due to the presence of system A.

What time scales do we compare? We'd like

$$\tau_c \ll \tau_p$$

time scale for  
appreciable  
autocorrelations of  
 $V$  in subsystem  $B$

time scale for  
perturbation theory in  
 $V$  to break down

Then:

- Evolution of  $A$  is Markovian for times greater than the correlation time scale,
- Evolution is perturbative until the breakdown time scale.

It looks like we only have control of the evolution of  $A$  for time intervals

$$\tau_c \ll t \ll \tau_p$$



But it's better than this!

We can coarse grain the time derivative of the reduced density matrix of A

$$\left(\frac{\partial \rho_A}{\partial t}\right)_{\text{coarse grained}} = \frac{\Delta \rho_A}{\Delta t} = F[V, \rho_A(t)]$$

$\tau_c \ll \Delta t \ll \tau_p$

Markovian assumption

This is valid for ANY time as long as we stay within the allowed window around it!

# Applications to Inflation

System A: Super-Hubble modes with  $\frac{k}{a(t)} \ll H$

System B: Sub-Hubble modes with  $\frac{k}{a(t)} \gg H$

The question is how to integrate out B. Redshifting makes this an open EFT question.

Natural correlation scale for B:  $t_c \sim H^{-1}$

# Stochastic Inflation

Want to get at Fokker-Planck equation:

$$\frac{\partial P[\phi(\cdot); t]}{\partial t} = \left( \frac{H^3}{8\pi^2} \right) \frac{\partial^2 P}{\partial \phi^2} + \underbrace{\frac{\partial}{\partial \phi} \left( \frac{V'(\phi)}{3H} P \right)}_{\text{drift term}}$$

prob. dist.      noise term      drift term

We'll show how to get the noise.

Consider a free scalar field in de Sitter:

$$\begin{aligned} L &= -\frac{1}{2} \int d^3x \sqrt{-g} \left[ (\partial\chi)^2 + m^2 \chi^2 \right] \\ &= \sum_k a^3 \left( \dot{\chi}_k^* \dot{\chi}_k - M_k^2 \chi_k^* \chi_k \right) \end{aligned}$$

Use Schrodinger picture field theory to quantize this.  
 We can do this mode by mode.

$$i \frac{\partial}{\partial t} \Psi[\chi] = \mathcal{H} \Psi[\chi] = \left[ -\frac{1}{a^3} \frac{\partial^2}{\partial \chi \partial \chi^*} + a^3 M^2 \chi^* \chi \right] \Psi[\chi]$$

**Gaussian ansatz:**

$$\Psi[\chi] := N(t) \exp\left(-a(t)^3 \omega(t) \chi^* \chi\right) \quad \begin{aligned} \dot{\omega} + 3H\omega &= -i\omega^2 + iM^2 \\ \dot{N} &= -i\omega N \end{aligned}$$

$$\omega = -i \frac{\dot{\omega}}{\omega} \Rightarrow \ddot{\omega} + M^2 \omega = 0, \quad \frac{\dot{N}}{N} = -\frac{\dot{\omega}}{\omega}$$

Prob. dist. are the diagonal matrix elements of the density matrix

$$\langle \xi | \rho | \chi \rangle = \Psi[\xi] \Psi^*[\chi]$$

$$\begin{aligned} \frac{\partial}{\partial t} \langle \xi | \rho | \chi \rangle &= \frac{\partial \Psi[\xi]}{\partial t} \Psi^*[\chi] + \Psi[\xi] \frac{\partial \Psi^*[\chi]}{\partial t} \\ &= (-i\mathcal{H}\Psi[\xi]) \Psi^*[\chi] + \Psi[\xi] (-i\mathcal{H}\Psi[\chi])^* \\ &= i \left[ \frac{1}{a^3} \left( \frac{\partial^2}{\partial \xi \partial \xi^*} - \frac{\partial^2}{\partial \chi \partial \chi^*} \right) + a^3 M^2 (\chi^* \chi - \xi^* \xi) \right] \langle \xi | \rho | \chi \rangle \end{aligned}$$



From Gaussian form of ansatz we can show:

$$\frac{\partial}{\partial t} \langle \chi | \rho | \chi \rangle = \mathcal{N} \frac{\partial^2}{\partial \chi \partial \chi^*} \langle \chi | \rho | \chi \rangle$$

$$\mathcal{N} \equiv \frac{i}{a^3} \left( \frac{\omega - \omega^*}{\omega + \omega^*} \right)$$

$$a^3 \mathcal{N} = - \left( \frac{aH}{k} \right)$$

Looks FP'ish

This is a problem!

Minus sign comes from freezing out of modes when they cross the horizon

But...it's not game over yet!

Have to combine modes to ask correct question: what is the prob. dist for super-horizon configurations?

Coarse graining over Hubble volumes leads to

$$\mathcal{P} = \left( \prod_k \frac{a^3 (\omega + \omega^*)}{\pi S_\Omega(k)} \right) \exp \left[ -a^3 \sum_k \left( \frac{\omega + \omega^*}{S_\Omega(k)} \right) \chi_k^* \chi_k \right]$$

window function for super-Hubble modes; new time dependence!

$$\frac{\partial}{\partial t} \mathcal{P}[\chi_\Omega] = \mathcal{N}_\Omega \frac{\partial^2 \mathcal{P}[\chi_\Omega]}{\partial \chi_\Omega^2}$$

$$\mathcal{N}_\Omega = \partial_t \int \frac{d^3 q}{(2\pi)^3} S_\Omega(q) \langle \chi_q^* \chi_q \rangle$$

$$= \int \frac{d^3 q}{(2\pi)^3} \partial_t \left[ \frac{S_\Omega(q)}{a^3(\omega + \omega^*)} \right]$$

$$= \int \frac{d^3 q}{(2\pi)^3} \left[ S_\Omega(q) \frac{i(\omega - \omega^*)}{a^3(\omega + \omega^*)} + \frac{\partial_t S_\Omega(q)}{a^3(\omega + \omega^*)} \right]$$

$$\simeq \frac{1}{4\pi^2} \left\{ i \int_0^{aH} \frac{dq q^2}{a^3} \left( \frac{\omega - \omega^*}{\omega + \omega^*} \right) + \left[ \left( \frac{q^2}{a^3} \right) \frac{aH^2}{\omega + \omega^*} \right]_{q=aH} \right\}$$

Put everything in and we get  $\mathcal{N}_\Omega = \frac{H^3}{8\pi^2}$  !

# Lindblad Equation and Decoherence

Let's look at off-diagonal density matrix elements

$$V = \int d^3x \mathcal{A}_i(x, t) B^i(x, t)$$

$$\langle \delta B^i(x, t) \delta B^j(x', t') \rangle_B = \mathcal{W}^{ij}(t) \delta^3(x - x') \delta(t - t')$$

$$\left( \frac{\partial \rho_A}{\partial t} \right)_{\text{cg}} = i [\rho_A, \mathcal{A}_j] \langle \mathcal{B}^j \rangle_B - \frac{1}{2} \mathcal{W}^{jk} [\mathcal{A}_j \mathcal{A}_k \rho_A + \rho_A \mathcal{A}_j \mathcal{A}_k - 2 \mathcal{A}_k \rho_A \mathcal{A}_j]$$

This is the Lindblad equation

Squeezing of super-Hubble modes implies

$$\mathcal{A}_i(\bar{\Phi}, \Pi)|\phi\rangle \rightarrow \mathcal{A}_i(\bar{\Phi}, 0)|\phi\rangle = \alpha_i(\phi)|\phi\rangle$$

This means we can integrate the Lindblad equation in the field basis

$$\langle\phi|\rho_A|\tilde{\phi}\rangle = \langle\phi|\rho_{A0}|\tilde{\phi}\rangle e^{-\Gamma}$$
$$\Gamma = \int d^3x dt [\alpha_i - \tilde{\alpha}_i][\alpha_j - \tilde{\alpha}_j] \mathcal{W}^{ij}$$

Off-diagonal elements get driven to zero on time scales comparable to the squeezing time scale.

# Conclusions

Evolution of inflationary modes is described by an open  
EFT

This allows us to understand to develop a systematic approach to corrections to Stochastic inflation picture.

I FINALLY understand how to get Stochastic inflation from a field theory! But only in the Gaussian approximation; how can we go beyond that?

It's not decoherence without decoherence; it's just decoherence and it's fast!